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M2 SIF - DLV Deep Learning for Vision

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Who are we?

- Elisa Fromont is professor at Université de Rennes (ISTIC). She works at the IRISA/INRIA Lab in the LACODAM ("Large Scale Collaborative Data Mining") team.
- Research domain (AI)
	- XAI
	- Machine Learning/Data Mining applied to
		- computer vision,
		- time series analysis,
		- fraud and anomaly detection
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- Denis Coquenet is associate professor at Université de Rennes (ISTIC). He works at IRISA in the SHADOC team.
- Research domain (AI)
	- Document Analysis
	- Computer vision
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How will I be graded?

- Final exam 1h30 (E.g. 19/01/2024 at 11h30). Exercises similar to the ones seen during the lectures.
- Oral presentation 15' (E.g. 17/01/2024 at 16h45). In the last session. A little manipulation of a deep neural network (group of 3 persons). You will be provided with a learned model (Pytorch code) and expected to :
	- Explain/show (10') to the class, the main parts of the code
	- Test it (5') on new examples (that you will provide) online in class

10 pts: you have managed to use the model (install the necessary environment and run it).

6 pts: your 15' explanations are clear.

4 pts: *bonus* if you managed to do additional tasks. E.g. propose another model for the same task, re-train the model on other data, change the output classes, combine it with something else, …..

Which projects?

- 1) Classification / Vision Transformer / ImageNet
- 2) Object detection / SSD / COCO
- 3) Segmentation / FCN / Pascal VOC
- 4) Text line recognition / FCN / IAM

Each group needs to register now (3 persons per group) on the file indicated here : https://people.irisa.fr/Denis.Coquenet/courses/DLV.html

Outline

21h 2 parts

Part 1 (7h30)

- Intro ML and main computer vision (learning) problems (1h30)
- NN learning bases (4h00)
	- Perceptron, MLP, Backprop, learning tricks
- Deep learning Basis (2h)
	- Convolutional Neural Networks (CNN)
	- Recurrent Neural Networks (LSTM, GRU)
	- Seq2Seq (CNN + LSTM)

statistics **Artificial intel Machine Learning**

Part 2 (12h00)

- Vision architectures for feature extraction (VGG, Resnet, Vision Transformer) : 3h00
- Object detection dedicated architectures (YOLO, RCNN) : 1h30
- Semantic segmentation architectures (FCN, U-Net, …) : 1h30
- Generative models for vision : 3h
	- GAN & VAE for vision
	- **Diffusion Models**
- Application (Handwriting recognition) : 1h30
- Oral Presentations : 1h30 (practical session)

Machine Learning?

Machine learning is a sub-field of AI that explores the construction and study of algorithms that enable machines to learn and acquire knowledge from past **data**.

Cf. SML in M2 SIF 6 and 10 and 10

Machine Learning Settings

1. Supervised learning

(classification, regression) Given a dataset (« training data ») $S =$ $\{(\boldsymbol{x}_i, \boldsymbol{y}_i)|i=1..n\}$, find a model **h** such that, for any new example **x** (« test data »), we can predict $y(h(x) = y)$

2. Unsupervised learning

Automatically find relevant (to be defined) structural information in the data $\{x_i | i = 1..n\}$

3. Reinforcement learning

Learn from experience what actions to take to optimize a quantitative reward over time

Supervised Machine Learning 101

Supervised Learning: **Regression**

The computer has access to training input examples and their desired outputs, given by a teacher or an oracle. The aim is to learn a general rule that maps inputs to outputs. Once learned, the rule can be deployed on test data.

$outputs = continuous values$

Supervised Learning: **Classification**

The computer has access to training input examples and their desired outputs, given by a teacher or an oracle. The aim is to learn a general rule that maps inputs to outputs. Once learned, the rule can be deployed on test data.

 $outputs = discrete values (labels)$

Supervised learning algorithm

Let S be a set of m training examples $\{z_i = (\mathbf{x}_i, y_i)\}_{i=1}^m$ independently and identically (i.i.d.) from an unknown joint distribution $D_{\mathcal{Z}}$ over a space $\mathcal{Z} = \mathcal{X} \times \mathcal{Y}$.

- **O** The x_i values $(x_i \in X)$ are typically vectors of the form $x_1, ..., x_{id}$, whose components are usually called features.
- **2** The y values $(y \in Y)$ are drawn from a discrete set of classes (typically $Y = \{-1, +1\}$ in binary classification) or are continuous values (regression).
- **3** We assume that there exists a target function f such that $y = f(x)$, $(x, y) \in \mathcal{Z}$.

True Risk (Generalization Error)

In order to pick the best hypothesis h*, we need a criterion to assess the quality of any hypothesis h.

The true risk $\mathcal{R}(h)$ (also called **generalization error**) of a hypothesis h corresponds to the expected error made by h over the entire distribution $D_{\mathcal{Z}}$:

$$
\mathcal{R}(h) = \mathbb{E}_{z=(x,y)\sim D_{\mathcal{Z}}}\mathbb{1}_{y\neq h(x)}
$$

where $z \sim D_{\mathcal{Z}}$ denotes that z is drawn i.i.d. from $D_{\mathcal{Z}}$.

The goal of supervised learning then becomes **finding a hypothesis h that achieves the smallest true risk**.

Empirical Risk (~ Training Error)

Unfortunately, $R(h)$ cannot be computed because D_z is unknown. We can only measure it on the training sample S. This is called the **empirical risk.**

Let $S = \{z_i = (\mathbf{x_i}, y_i)\}_{i=1}^m$ be a training sample. The empirical risk $\hat{\mathcal{R}}(h)$ (also called empirical error) of a hypothesis $h \in H$ corresponds to the expected error suffered by h on the instances in S .

$$
\mathcal{R}(h) = \mathbb{E}_{\{z_i = (\mathbf{x_i}, y_i)\}_{i=1}^m} \mathbb{1}_{y \neq h(\mathsf{x})}
$$

0/1 Loss or Classification Error

A loss function L : H \times Z \rightarrow R+ measures the degree of agreement between h(x) and y.

$$
\mathcal{L}(h(\mathbf{x}), y) = \mathbb{1}_{y \neq h(\mathbf{x})}
$$

corresponds to the proportion of time $h(x)$ and y agree, i.e. the proportion of correct predictions.

In binary classification,

$$
\mathcal{L}(h(\mathbf{x}), y) = \begin{cases} 1 & \text{if } h(\mathbf{x})y < 0 \\ 0 & \text{otherwise} \end{cases}
$$

Surrogate Losses (Convex Approximations of the 0/1 loss)

Due to the non convexity of the 0/1 loss, minimizing (or approximately minimizing) R(h) is known to be NP-hard even for simple classes of hypotheses (Ben-David et al., 2003).

• the **hinge loss** (used in SVM):

$$
\mathcal{L}_{hinge}(h(\mathbf{x}), y) = [1 - yh(\mathbf{x})]_+ = \max(0, 1 - yh(\mathbf{x}))
$$

• the exponential loss (used in boosting):

$$
\mathcal{L}_{exp}(h(\mathbf{x}), y) = \exp(yh(\mathbf{x}))
$$

• the **logistic loss** (used in logistic regression):

$$
\mathcal{L}_{log}(h(\mathbf{x}), y) = \log(1 + \exp(yh(\mathbf{x})))
$$

Surrogate Losses

What is a good classifier?

From a same machine learning problem, several class of classifiers can be used leading to the same empirical rate.

Overfitting

In statistics, overfitting occurs when **a model describes random error or noise** instead of the underlying relationship. In ML: when a **model is excessively complex** or **the size of the training dataset is small** (too many degrees of freedom w.r.t. the amount of available data).

Underfitting

Underfitting occurs when a statistical model or ML algorithm cannot capture the underlying trend of the data = when a model is **excessively simple**.

Bias vs Variance

Regularization

- A way of avoiding overfitting
- Regularization, in mathematics and statistics and particularly in ML, refers to a process of **introducing additional information in order to** solve an ill-posed problem or to **prevent overfitting**.
	- This information is usually of the form of a **penalty for complexity**, such as restrictions for smoothness or bounds on the vector space norm.

Regularized Risk Minimization

New optimization problem:

$$
h = \argmin_{h_i \in \mathcal{H}} \hat{\mathcal{R}}(h_i) + \lambda ||h_i||
$$

where

- \bullet λ is the regularization parameter (or hyper-parameter)
- \bullet $||.||$ is a norm function

We select a hypothesis h that achieves the best trade-off between empirical risk minimization and regularization.

Empirical estimation of the generalization error (true risk) = how good your model is

- 1. Estimation using the learning set S
- 2. Estimation using a test set T
- 3. Estimation by cross-validation

Estimation using the learning set S 88

Minimize the empirical risk over the m examples of S to choose the hypothesis $h \in H$:

with

$$
h = \argmin_{h_i \in \mathcal{H}} \hat{\mathcal{R}}(h_i)
$$

$$
\hat{\mathcal{R}}^{\mathcal{L}}(h(\mathbf{x}), y) = \frac{1}{m} \sum_{i=1}^{m} \mathcal{L}(h(\mathbf{x_i}), y)
$$

Drawback: **too optimistic** because it tends to overestimate the generalization ability of h, and does not allow us to detect overfitting situations (Breiman 84).

Estimation using the test set T

Split in two subsets such that $S = S^* \cup T$. S^* is used to build *h*, while *T* is used to test *h* on examples that have not been used for its inference, but for which the label *y* is known.

$$
h = \argmin_{h_i \in \mathcal{H}} \hat{\mathcal{R}}(h_i)
$$

with

$$
\hat{\mathcal{R}}^{\mathcal{L}}(h(\mathbf{x}), y) = \frac{1}{|T|} \sum_{(\mathbf{x}_i, y_i) \in T} \mathcal{L}(h(\mathbf{x}_i), y)
$$

Drawback: reduces the number of examples available for learning h.

Estimation by cross-validation

Input: A learning algorithm L , a set of training examples S **Output**: an estimate $\hat{\epsilon}_h$ Divide randomly S in k subsets $S_1, ..., S_k$; for $i=1$ to k do Run L on the sample $S - S_i$ and generate the classifier h_i ; Deduce the estimate of the real risk such that $\hat{\epsilon}'_h = \frac{1}{k} \sum_{i=1}^k \hat{\epsilon}'_{h_i}$ where $\hat{\epsilon}'_{h_i}$ is the error rate of h_i on the subset S_i ;

Drawback: costly from a complexity point of view. Tricky when needed for nested cross-validation to tune hyperpameters too (cf. later)

Ex: 8-fold cross validation

- For each fold i: learn from yellow, test on pink \rightarrow get \hat{e}_i
- \hat{e} = somme (\hat{e}_i) / 8
- variant for small dataset**: leave-one-out** = 1 example in test

Tuning hyperparameters

- **Bad idea**: choose the one with the lowest training error (problem of overfitting).
- **Worst idea**: choose the best parameter on the test set
- **Good idea**:

Ex: lambda

- Use a validation set !
- k-fold cross-validation + select the value for hyper-parameter with the lowest cross-validation error.

Hyperparameter tuning is different from model performance estimation

(without test set, may need 2 loops of cross-val to do both)

Which hyperparameters values to test?

A way to choose the combinations of values for multiple hyper-parameter tuning (p):

- 1. fix the set s_z of possible values per hyper-parameter λ_z (ex. $s_1 = \{0.001, 0.01, 0.1, 1, 10,$ 100});
- 2. compute a cross-validation for each combination of values $(\lambda_1, \lambda_2, \ldots);$
- 3. select the combination of values (λ_1, λ_2) λ_2 , ...) that gives the best error.
- 4. Total number of cross-validations:

Types of errors = Confusion Matrix

Prediction

(in class *c*) (not in class *c*)

(in class c) c) (in class **Ground Truth**
(not in class c) (in clas **Ground Truth** (not in class

Evaluation (measures) of a classifier

• Accuracy = fraction of correct classifications on unseen data (test set, cross validation, bootstrap, ...) $TN + TP$

 $TN + FP + FN + TP$

- Error rate = 1 − Accuracy
- TP $FP + TP$ • Precision = TP $FN + TP$ • Recall =

Typical measures in CV

• Intersection over Union (IoU) for *object detection*

(confusion matrix depends on the IoU threshold)

- **Mean Average Precision** (mAP)
- Average Precision(AP) is the area under the Precision/Recall curve

$$
mAP = \frac{1}{N} \sum_{i=1}^{N} AP_i
$$

Mean Average Precision Formula

Computer Vision: Supervised Problems

Object classification

CV Tasks for Generative Algorith

Image gen using Supe Resolution architecture

/v5_upscale A glowing woman's the style of sharp & luminous 3d object

Image generation from multimodal deep architechtures

- Dall-E (https://openai.com/dall-e-2)
- Mid-Journey

…

(https://www.midjourney.com/)

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Unsupervised learning?

- E.g. Dimensionality reduction, clustering, pattern mining
- Optimization or combinatorial enumeration (when working on discrete structures)
- Also uses regularizations (or heuristics)
- Also used in CV but
	- As a preprocessing step for the previous tasks
	- As a basis for generative models
	- For anomaly detection
- No clear target y:
	- No general loss to optimize (different for each problem, ex: clustering)
	- No clear way to evaluate the outcome (be creative)

Reinforcement Learning?

- Learn more here : http://ivg.au.tsinghua.edu.cn/DRLCV/
- And (David Silver course on RL) https://www.youtube.com/watch?v=2pWv7GOvuf0