

Deep Learning for Vision (DLV)

Classification - part I

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Knowledge

- What is classification
- Evolution of deep architectures for classification
- Key components for stable training of deep models

Skills and know-how

- Formalize classification as a deep learning task
- Evaluate a classification model
- Compare neuronal layers in terms of parameters, output shape, memory consumption, computational cost and input constraints

- 1 The image classification task
 - What is image classification?
 - Why is it useful?
 - How to handle this task?
 - Problem formulation
 - Evaluating the task
 - Defining a training objective
- 2 Case study: LeNet for digit recognition
- 3 Towards deep neural networks

What is the main subject in the image?

Input: image



Output: Butterfly



Output: Candle



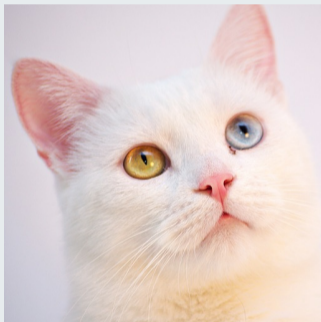
Output: Car

Task: find the main object in the image

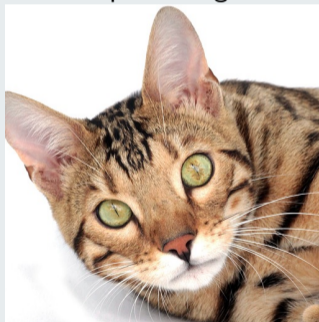
Fine-grained classification

The expected class is domain-specific, e.g., cat species:

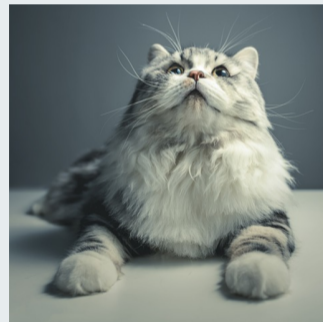
Input: image



Output: Angora



Output: Bengal



Output: Persian

Why?

- Autonomous cars
- Tagging images (keyword)
- Security: facial recognition
- Knowledge: mushroom identification (<https://shroom.id/>)

How?

With deep supervised learning!

Constraints

- Classes must be known beforehand
- Requires annotated data for each class
- Item can be anywhere in the image (position, size)

Goal

Given a set of c classes, we want to learn a function $f_\theta : \mathcal{X} \rightarrow \mathcal{Y}$ which associates a class to each image.

Need: annotated data

$\mathcal{D}_{\text{train}} = \{(x_i, y_i) \in \mathcal{X} \times \mathcal{Y}\}_{i=1}^n$: a set of n training images

$x_i \in \mathbb{R}^{H_i \times W_i \times C_i}$: an image of height H_i , width W_i and encoded on C_i channels

($C_i = 1$ for gray-scaled, $C_i = 3$ for RGB)

$y_i \in \{0, 1\}^{N_c}$ the one-hot encoded class

Example for three classes: butterfly, candle and car

Butterfly $\rightarrow [1, 0, 0]$

Candle $\rightarrow [0, 1, 0]$

Car $\rightarrow [0, 0, 1]$

Softmax

Let o be the output of the network: $o = f_{\theta}(x)$

Class probabilities can be obtained through softmax activation:

$$\hat{y} = \text{softmax}(o) \quad \Leftrightarrow \quad \hat{y}_i = \frac{e^{o_i}}{\sum_{j=1}^c e^{o_j}}$$

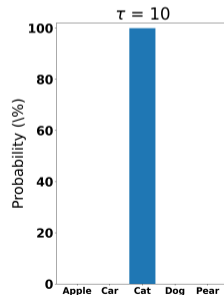
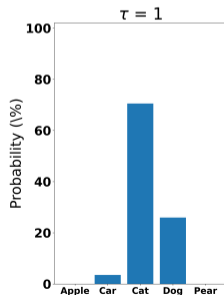
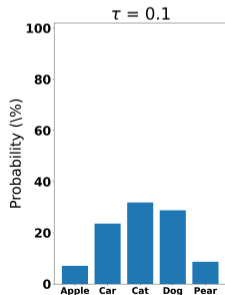
Example for three classes: butterfly, candle and car

Class	o_i	\hat{y}_i
Butterfly	11.5	21.42%
Candle	12.8	78.58%
Car	-2.4	0.00%

Adding a temperature factor τ enables to modulate the sharpness of the probability

distribution: $\hat{y}_i = \frac{e^{o_i/\tau}}{\sum_{j=1}^c e^{o_j/\tau}}$

- Could be optimized at training time through gradient descent
- Could be used at inference time to bring stochasticity (NLP)



Metrics

Top-1 accuracy:

$$a(\hat{y}, y) = \begin{cases} 1 & \text{if } \arg \max(\hat{y}) = \arg \max(y) \\ 0 & \text{otherwise} \end{cases}$$

Similarly, top-5 accuracy.

Goal

$$\min_{\theta} \frac{1}{n} \sum_{i=1}^n a(f_{\theta}(x_i), y_i)$$

But a not differentiable.

Cross-entropy loss

$$\begin{aligned}\mathcal{L}_{\text{CE}}(\hat{y}, y) &= -\sum_{j=1}^c y_j \log(\hat{y}_j) \\ &= -y_{c^*} \log(\hat{y}_{c^*})\end{aligned}$$

where c^* is the index of the ground truth class.

New goal

$$\min_{\theta} \frac{1}{n} \sum_{i=1}^n \mathcal{L}_{\text{CE}}(f_{\theta}(x_i), y_i)$$

Now the cost function is differentiable, but...

Training minimizes the error over the training set only

Metric computations

Compute the top-1 accuracy and top-5 accuracy for the following predictions/ground truth

# Sample	Scores							Ground truth
	Apple	Bike	Car	Cat	Dog	Pear	Plane	
1	15	10	2	5	20	8	1	Apple
2	2	5	10	8	4	12	3	Car
3	12	2	1	6	4	5	9	Car
4	1	2	3	4	5	6	7	Plane
5	7	6	5	4	3	2	1	Bike

# Sample	Scores							Ground truth	Top-1 acc.	Top-5 acc.
	Apple	Bike	Car	Cat	Dog	Pear	Plane			
1	15	10	3	5	20	8	2	Apple	✗	✓
2	2	5	10	8	4	12	3	Car	✗	✓
3	12	2	1	6	4	5	9	Car	✗	✗
4	1	2	3	4	5	6	7	Plane	✓	✓
5	7	6	5	4	3	2	1	Bike	✗	✓
									20%	80%

Remark: the softmax function is monotonic

► the argmax can be used directly on scores at prediction time

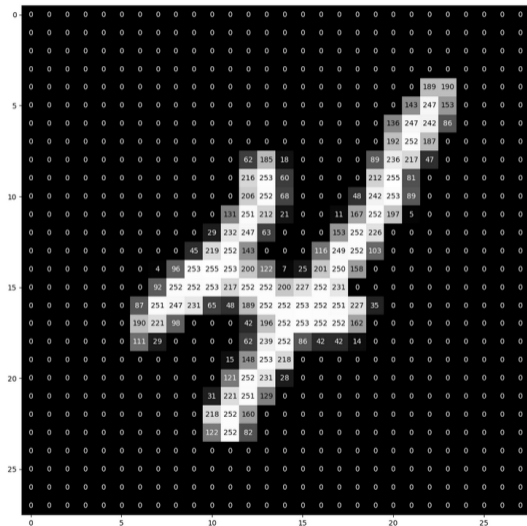
- 1 The image classification task
- 2 Case study: LeNet for digit recognition
 - The MNIST dataset
 - LeNet-5 architecture
 - Pytorch implementation of the whole task
 - Training and evaluation
- 3 Towards deep neural networks



A handwritten digit classification dataset

- Gray-scaled images of size 28×28
- 10 classes: digits from 0 to 9
- 60,000 training samples + 10,000 test samples

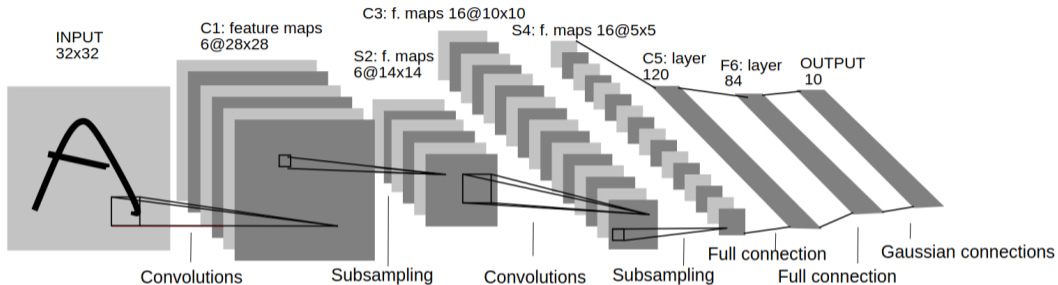
The MNIST dataset (1998) [1]



Very low resolution

Gray-scaled: only one dimension for color
($28 \times 28 \times 1 \rightarrow 784$ values in total)

LeNet-5 architecture (1998) [1]



A Convolutional Neural Network (CNN)

- 2 convolutions followed by max pooling
- 3 fully-connected layers
- Originally designed for inputs of size 32×32

```
from torch import nn
class LeNet(nn.Module):
    def __init__(self):
        super(LeNet, self).__init__()
        self.conv1 = nn.Conv2d(1, 6, kernel_size=5, stride=1, padding=0)
        self.conv2 = nn.Conv2d(6, 16, kernel_size=5, stride=1, padding=0)
        self.fc1 = nn.Linear(400, 120)
        self.fc2 = nn.Linear(120, 84)
        self.fc3 = nn.Linear(84, 10)
        # Non-parametric
        self.max_pool = nn.MaxPool2d(kernel_size=2, stride=2)

    def forward(self, x):
        out = torch.tanh(self.conv1(x))
        out = self.max_pool(out)
        out = torch.tanh(self.conv2(out))
        out = self.max_pool(out)
        out = out.reshape(out.size(0), -1)
        out = torch.tanh(self.fc1(out))
        out = torch.tanh(self.fc2(out))
        out = self.fc3(out)
        return out
```

Model analysis

```
# Model instantiation
net = LeNet()

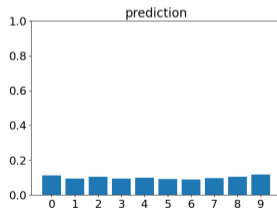
# Summary
from torchsummary import summary
summary(net, (1, 32, 32))

# Forward pass
# x: input image (1, 1, 32, 32)
o = net(x) # (1, 10)
hat_y = torch.softmax(o, dim=1)
```

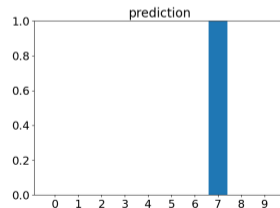


Input image x

Layer (type:depth-idx)	Output Shape	Param #
Conv2d: 1-1	[-1, 6, 28, 28]	156
MaxPool2d: 1-2	[-1, 6, 14, 14]	--
Conv2d: 1-3	[-1, 16, 10, 10]	2,416
MaxPool2d: 1-4	[-1, 16, 5, 5]	--
Linear: 1-5	[-1, 120]	48,120
Linear: 1-6	[-1, 84]	10,164
Linear: 1-7	[-1, 10]	850
Total params: 61,706		



Before training



After training

```
from torch.utils.data import DataLoader
from torchvision.transforms import Compose, ToTensor, Resize
from torchvision.datasets import MNIST

num_epochs = 10
batch_size = 100
learning_rate = 0.01
transform = Compose([ToTensor(), Resize((32, 32))])
train_loader = DataLoader(MNIST(root="./cache", train=True, download=True, transform=transform),
                          batch_size=batch_size, shuffle=True)
test_loader = DataLoader(MNIST(root="./cache", train=False, download=True, transform=transform),
                        batch_size=batch_size, shuffle=False)

device = ("cuda" if torch.cuda.is_available() else "cpu")
net = LeNet().to(device)
optimizer = torch.optim.SGD(net.parameters(), lr=learning_rate)
loss_fn = torch.nn.CrossEntropyLoss()

for epoch in range(num_epochs):
    train_epoch(train_loader, net, optimizer, loss_fn)
    eval(test_loader, net)
```

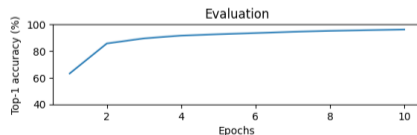
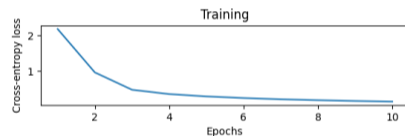
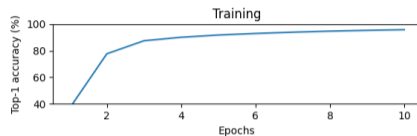
```
def train_epoch(dataloader, net, optimizer, loss_fn):
    epoch_loss = list()
    epoch_top1_acc = list()
    net.train()
    for x, y in dataloader:
        batch_loss, batch_top1_acc = train_batch(x, y, net, optimizer, loss_fn)
        epoch_loss.append(batch_loss)
        epoch_top1_acc.append(batch_top1_acc)
    current_loss = np.mean(epoch_loss)
    current_top1_acc = 100 * np.mean(epoch_top1_acc)
    print(f"Train epoch {epoch+1}: loss: {current_loss:.4f} ; top-1 accuracy: {current_top1_acc:.2f}%")

def train_batch(x, y, net, optimizer, loss_function):
    x, y = x.to(device), y.to(device)
    optimizer.zero_grad() # zero the gradient buffers
    output = net(x)
    loss = loss_function(output, y)
    loss.backward()
    optimizer.step()
    top1_acc = compute_top1_acc(output, y)
    return loss.item(), top1_acc.item()
```

```
def compute_top1_acc(prediction, ground_truth):  
    # prediction (B, N), ground_truth (B)  
    best_prediction = torch.argmax(prediction, dim=1)  
    return torch.mean(best_prediction == ground_truth, dtype=torch.float)  
  
def eval_batch(x, y, net):  
    x, y = x.to(device), y.to(device)  
    output = net(x)  
    top1_acc = compute_top1_acc(output, y)  
    return top1_acc.item()  
  
def eval(dataloader, net):  
    top1_acc = list()  
    net.eval()  
    with torch.no_grad():  
        for x, y in dataloader:  
            batch_top1_acc = eval_batch(x, y, net)  
            top1_acc.append(batch_top1_acc)  
    top1_acc = 100 * np.mean(top1_acc)  
    print(f"Eval epoch {epoch+1}: top-1 accuracy: {top1_acc:.2f}%")
```

Let's run it!

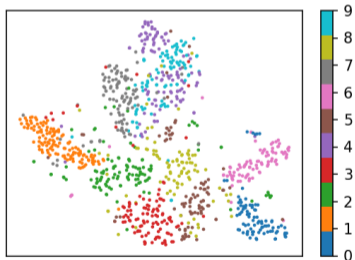
```
Train epoch 1: loss: 2.1790 ; top-1 accuracy: 34.73%
Eval epoch 1: top-1 accuracy: 57.64%
Train epoch 2: loss: 1.0242 ; top-1 accuracy: 73.68%
Eval epoch 2: top-1 accuracy: 84.61%
Train epoch 3: loss: 0.5052 ; top-1 accuracy: 86.74%
Eval epoch 3: top-1 accuracy: 88.76%
Train epoch 4: loss: 0.3701 ; top-1 accuracy: 89.77%
Eval epoch 4: top-1 accuracy: 91.27%
Train epoch 5: loss: 0.2959 ; top-1 accuracy: 91.62%
Eval epoch 5: top-1 accuracy: 92.71%
Train epoch 6: loss: 0.2447 ; top-1 accuracy: 93.05%
Eval epoch 6: top-1 accuracy: 93.82%
Train epoch 7: loss: 0.2068 ; top-1 accuracy: 94.17%
Eval epoch 7: top-1 accuracy: 94.70%
Train epoch 8: loss: 0.1780 ; top-1 accuracy: 95.00%
Eval epoch 8: top-1 accuracy: 95.44%
Train epoch 9: loss: 0.1555 ; top-1 accuracy: 95.62%
Eval epoch 9: top-1 accuracy: 96.04%
Train epoch 10: loss: 0.1384 ; top-1 accuracy: 96.04%
Eval epoch 10: top-1 accuracy: 96.50%
```



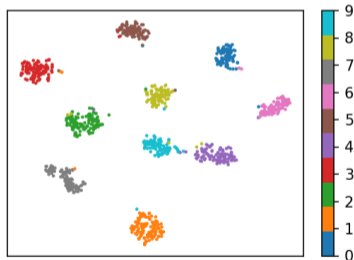
T-SNE [2]

T-SNE (T-distributed Stochastic Neighbor Embedding):

Approach to reduce dimensions by preserving relative distance between points from input space to output space.



T-SNE on raw data



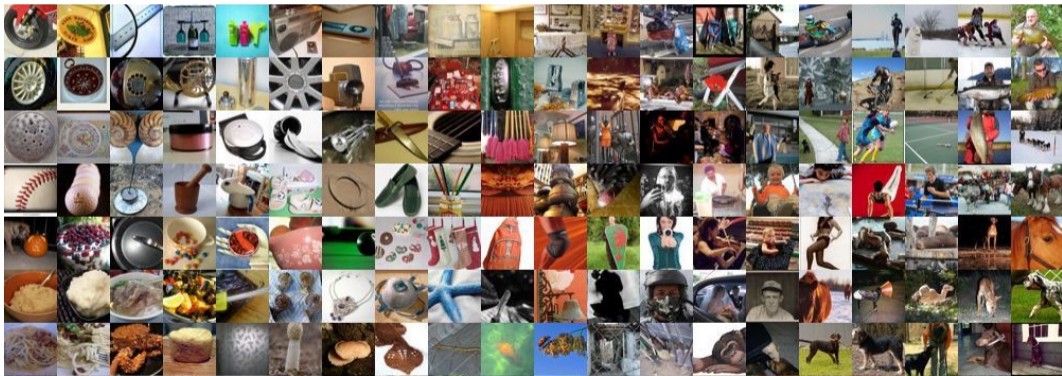
T-SNE on latent representation

- 1 The image classification task
- 2 Case study: LeNet for digit recognition
- 3 Towards deep neural networks
 - The ImageNet dataset
 - AlexNet
 - VGG
 - GoogleNet
 - Depthwise Separable Convolution
 - ResNet

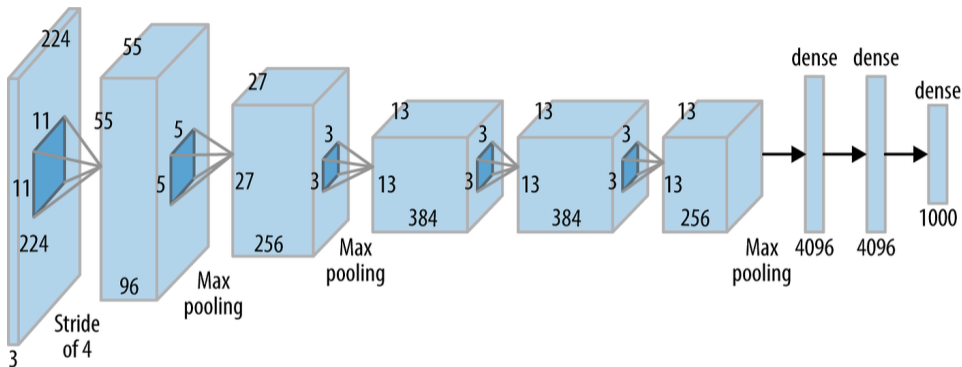
The ImageNet dataset (2012) [3]

A large-scale dataset for image classification

- 1,000 classes
- 1.2 M training images (average size: 469x387 pixels)



source: <https://cs.stanford.edu/people/karpathy/cnnembed/>



source: oreilly.com

- 8-layer model (5 convolutions + 3 denses)
- ~60 M parameters
- Top-5 accuracy on ImageNet: 83.6%

Model definition

```
from torch import nn

class AlexNet(nn.Module):
    def __init__(self, num_classes=10):
        super(AlexNet, self).__init__()
        self.conv1 = nn.Sequential(
            nn.Conv2d(3, 96, kernel_size=11, stride=4, padding=2),
            nn.BatchNorm2d(96),
            nn.ReLU(),
            nn.MaxPool2d(kernel_size=3, stride=2))
        self.conv2 = nn.Sequential(
            nn.Conv2d(96, 256, kernel_size=5, stride=1, padding=2),
            nn.BatchNorm2d(256),
            nn.ReLU(),
            nn.MaxPool2d(kernel_size=3, stride=2))
        self.conv3 = nn.Sequential(
            nn.Conv2d(256, 384, kernel_size=3, stride=1, padding=1),
            nn.BatchNorm2d(384),
            nn.ReLU())
        self.conv4 = nn.Sequential(
            nn.Conv2d(384, 384, kernel_size=3, stride=1, padding=1),
            nn.BatchNorm2d(384),
            nn.ReLU())
        self.conv5 = nn.Sequential(
            nn.Conv2d(384, 256, kernel_size=3, stride=1, padding=1),
            nn.BatchNorm2d(256),
            nn.ReLU(),
            nn.MaxPool2d(kernel_size=3, stride=2))
```

```
        self.fc1 = nn.Sequential(
            nn.Dropout(0.5),
            nn.Linear(9216, 4096),
            nn.ReLU())
        self.fc2 = nn.Sequential(
            nn.Dropout(0.5),
            nn.Linear(4096, 4096),
            nn.ReLU())
        self.fc3 = nn.Sequential(
            nn.Linear(4096, num_classes))

    def forward(self, x):
        out = self.conv1(x)
        out = self.conv2(out)
        out = self.conv3(out)
        out = self.conv4(out)
        out = self.conv5(out)
        out = out.reshape(out.size(0), -1)
        out = self.fc1(out)
        out = self.fc2(out)
        out = self.fc3(out)
        return out
```

Model analysis

```
# Instanciacion
```

```
net = AlexNet()
```

```
# Summary
```

```
from torchsummary import summary
```

```
summary(net, (3, 224, 224))
```

Layer (type)	Output Shape	Param #
Conv2d-1	[-1, 96, 55, 55]	34,944
BatchNorm2d-2	[-1, 96, 55, 55]	192
ReLU-3	[-1, 96, 55, 55]	0
MaxPool2d-4	[-1, 96, 27, 27]	0
Conv2d-5	[-1, 256, 27, 27]	614,656
BatchNorm2d-6	[-1, 256, 27, 27]	512
ReLU-7	[-1, 256, 27, 27]	0
MaxPool2d-8	[-1, 256, 13, 13]	0
Conv2d-9	[-1, 384, 13, 13]	885,120
BatchNorm2d-10	[-1, 384, 13, 13]	768
ReLU-11	[-1, 384, 13, 13]	0
Conv2d-12	[-1, 384, 13, 13]	1,327,488
BatchNorm2d-13	[-1, 384, 13, 13]	768
ReLU-14	[-1, 384, 13, 13]	0
Conv2d-15	[-1, 256, 13, 13]	884,992
BatchNorm2d-16	[-1, 256, 13, 13]	512
ReLU-17	[-1, 256, 13, 13]	0
MaxPool2d-18	[-1, 256, 6, 6]	0
Dropout-19	[-1, 9216]	0
Linear-20	[-1, 4096]	37,752,832
ReLU-21	[-1, 4096]	0
Dropout-22	[-1, 4096]	0
Linear-23	[-1, 4096]	16,781,312
ReLU-24	[-1, 4096]	0
Linear-25	[-1, 10]	40,970

```
Total params: 58,325,066
```

```
Input size (MB): 0.57
```

```
Params size (MB): 222.49
```

Feature extraction

- Convolution for local feature extraction (shift-equivariance)
- Dense for global feature extraction

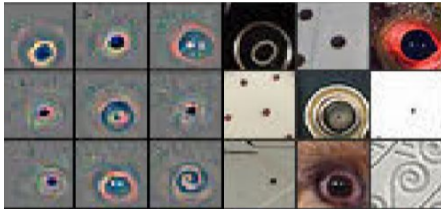
Regularization

- BatchNorm
- Dropout
- Data augmentation

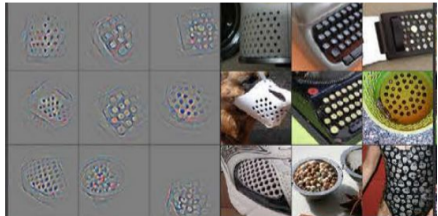
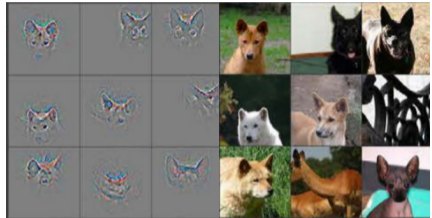
Activation

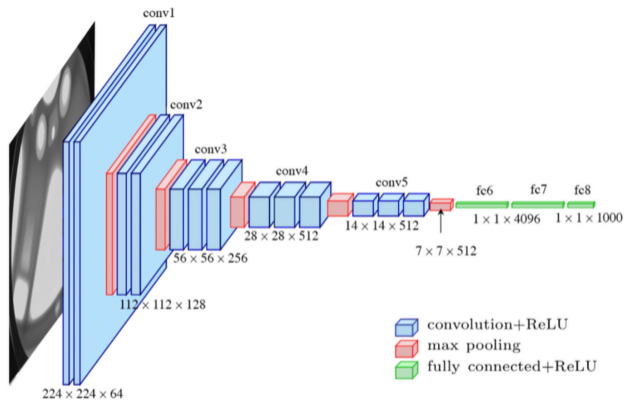
- ReLU activation for vanishing gradient issue

Layer 2



Layer 5





VGG-16

source: Ferguson *et al.*, International Conference on Big Data, 2017

	VGG-11	VGG-13	VGG-16	VGG-19	VGG-19 [384]
# conv. layers	8	10	13	16	16
# dense layers	3	3	3	3	3
# parameters (M)	133	133	138	144	144
ImageNet (valid) Top-1 accuracy (%)	70.4	71.3	73.0	72.7	73.1

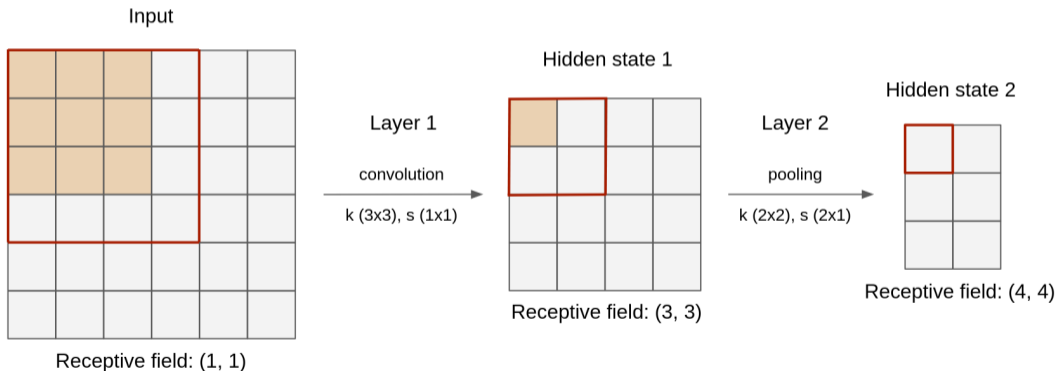
VGG-19 top-5 accuracy on ImageNet (test): 92.7%

► The deeper (and the wider) the better !

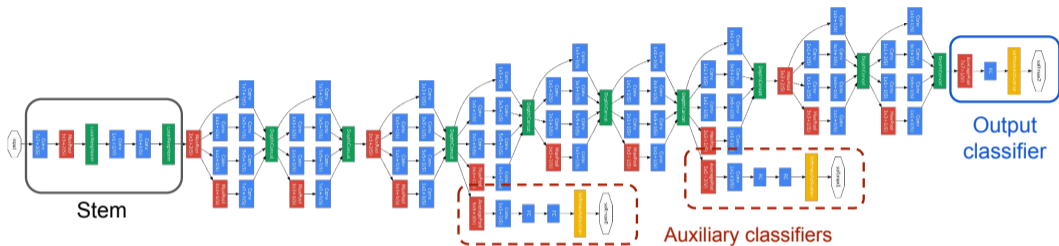
But: # parameters ↗, memory consumption ↗, computations ↗.

Definition

The receptive field corresponds to the size of the region in the input that produces the feature of a given hidden state.



► What is the receptive field in the decision layer?



- Conv. stem + stack of "Inception" blocks + output classifier
- Auxiliary classifiers used during training only
- 6.8 M parameters
- Top-5 accuracy on ImageNet: 93.3%

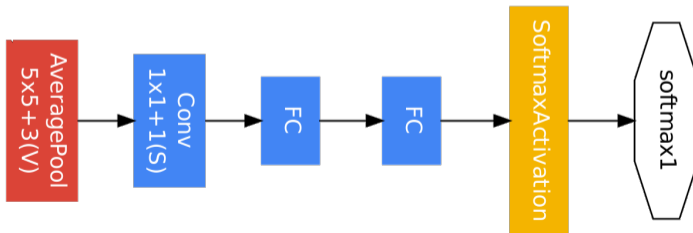
Idea

Assumption: latent representations are discriminative enough in the middle of the network.

Goal: improve gradient propagation for lower layers.

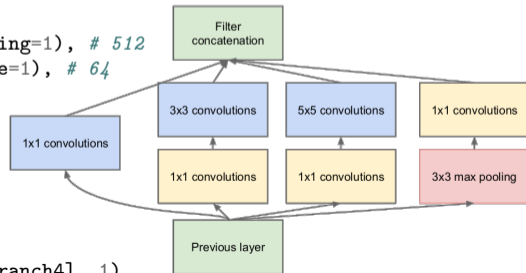
Global loss

$$\mathcal{L} = \mathcal{L}_{\text{output}} + 0.3\mathcal{L}_{\text{aux}_1} + 0.3\mathcal{L}_{\text{aux}_2}$$



Inception block

```
class Inception(nn.Module):  
    def __init__(self, in_channels, out_br1, red_br2, out_br2, red_br3, out_br3, out_br4):  
        super().__init__()  
        self.branch1 = nn.Conv2d(in_channels, out_br1, kernel_size=1) # 128  
        self.branch2 = nn.Sequential(  
            nn.Conv2d(in_channels, red_br2, kernel_size=1), # 128  
            nn.Conv2d(red_br2, out_br2, kernel_size=3, padding=1) # 256  
        )  
        self.branch3 = nn.Sequential(  
            nn.Conv2d(in_channels, red_br3, kernel_size=1), # 24  
            nn.Conv2d(red_br3, out_br3, kernel_size=5, padding=1), # 64  
        )  
        self.branch4 = nn.Sequential(  
            nn.MaxPool2d(kernel_size=3, stride=1, padding=1), # 512  
            nn.Conv2d(in_channels, out_br4, kernel_size=1), # 64  
        )  
  
    def forward(self, x): # (B, 512, H, W)  
        branch1 = self.branch1(x) # (B, 128, H, W)  
        branch2 = self.branch2(x) # (B, 256, H, W)  
        branch3 = self.branch3(x) # (B, 64, H, W)  
        branch4 = self.branch4(x) # (B, 64, H, W)  
        return torch.cat([branch1, branch2, branch3, branch4], 1)
```



```
# x: latent representation of dimension (1, 512, H, W)

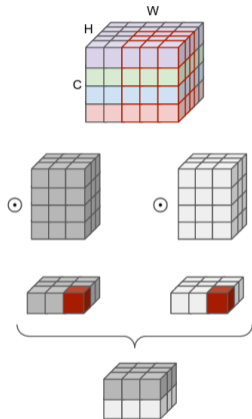
block = Inception(in_channels=512, out_br1=128, red_br2=128,
                 out_br2=256, red_br3=24, out_br3=64, out_br4=64)
out = block(x) # (1, 512, H, W) using 510,104 parameters

conv = nn.Conv2d(512, 512, kernel_size=3)
out = conv(x) # (1, 512, H, W) using 2,359,808 parameters
```

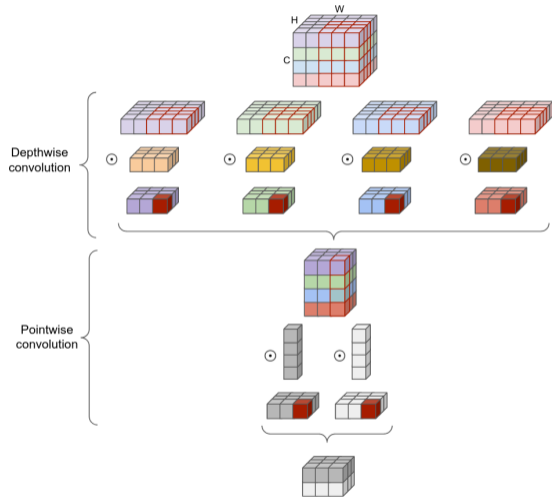
► 4 times less parameters

Another way to reduce the number of parameters: Depthwise Separable Convolutions

Depthwise Separable Convolutions (DSC, 2017) [7]



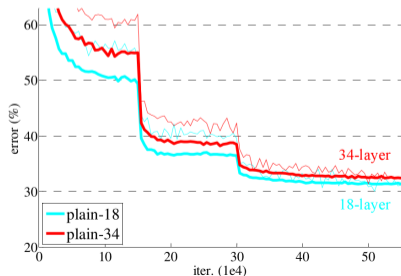
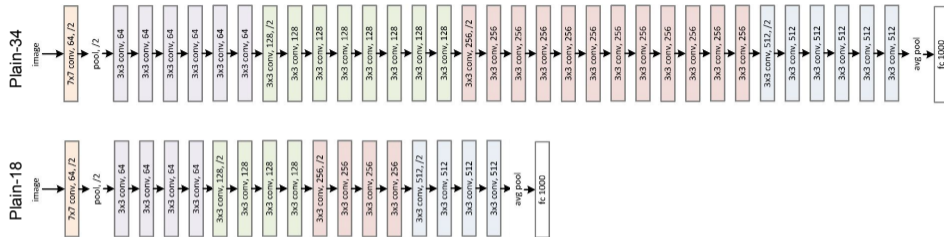
Standard convolution:
 k kernels $3 \times 3 \times C$



Depthwise separable convolution:
 C kernels $3 \times 3 \times 1$ followed by k kernels $1 \times 1 \times C$

Depthwise Separable Convolutions (DSC) [7]

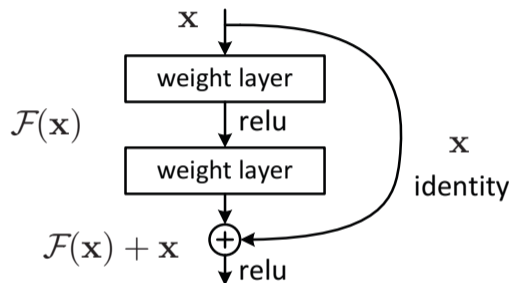
C	n_k	k_H	k_W	# weights convolution	# weights DSC
				$C \times k_H \times k_W \times n_k$	$C \times (k_H \times k_W + n_k)$
512	512	3	3	2.4M	0.3M
1028	1028	3	3	9.5M	1.1M
1028	1028	5	5	26.4M	1.1M



- Stacking more layers leads to poorer results
- Not an overfitting issue (same behaviour during training)
- Why? If shallower network was optimal, additional layers should tend to identity mapping through training.

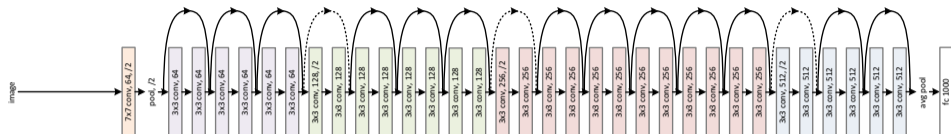
Goal: to make optimization easier

```
class Residual(nn.Module):  
  
    def __init__(self, in_channels, out_channels):  
        super().__init__()  
        self.conv1 = nn.Conv2d(in_channels, out_channels)  
        self.bn1 = nn.BatchNorm2d(out_channels)  
        self.relu = nn.ReLU(inplace=True)  
        self.conv2 = nn.Conv2d(out_channels, out_channels)  
        self.bn2 = nn.BatchNorm2d(out_channels)  
  
    def forward(self, x):  
        identity = x  
        out = self.conv1(x)  
        out = self.bn1(out)  
        out = self.relu(out)  
        out = self.conv2(out)  
        out = self.bn2(out)  
        out += identity  
        out = self.relu(out)  
        return out
```



Assumption: it is easier to learn the residual mapping $\mathcal{F}(x) = \mathcal{H}(x) - x$ than directly \mathcal{H} .
Easier to set weights to 0 (in case identity mapping is optimal) than to learn the identity mapping through non-linear functions.

► Improve gradient propagation + multi-scale feature extraction

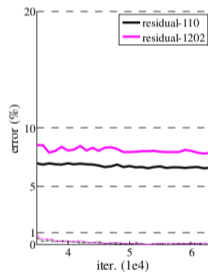
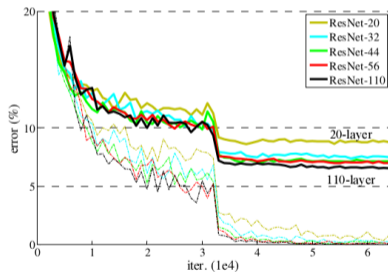
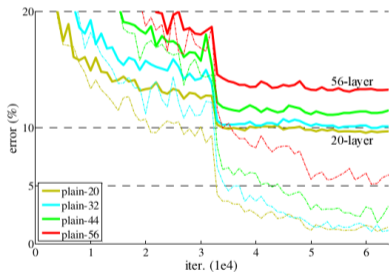


layer name	output size	18-layer	34-layer	50-layer	101-layer	152-layer
conv1	112×112	$7 \times 7, 64, \text{stride } 2$				
conv2_x	56×56	$3 \times 3 \text{ max pool, stride } 2$				
		$\begin{bmatrix} 3 \times 3, 64 \\ 3 \times 3, 64 \end{bmatrix} \times 2$	$\begin{bmatrix} 3 \times 3, 64 \\ 3 \times 3, 64 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$
conv3_x	28×28	$\begin{bmatrix} 3 \times 3, 128 \\ 3 \times 3, 128 \end{bmatrix} \times 2$	$\begin{bmatrix} 3 \times 3, 128 \\ 3 \times 3, 128 \end{bmatrix} \times 4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 8$
		$\begin{bmatrix} 3 \times 3, 256 \\ 3 \times 3, 256 \end{bmatrix} \times 2$	$\begin{bmatrix} 3 \times 3, 256 \\ 3 \times 3, 256 \end{bmatrix} \times 6$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 6$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 23$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 36$
conv5_x	7×7	$\begin{bmatrix} 3 \times 3, 512 \\ 3 \times 3, 512 \end{bmatrix} \times 2$	$\begin{bmatrix} 3 \times 3, 512 \\ 3 \times 3, 512 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$
		1×1	average pool, 1000-d fc, softmax			
FLOPs		1.8×10^9	3.6×10^9	3.8×10^9	7.6×10^9	11.3×10^9

Top-5 accuracy: 96.43% for the deepest model

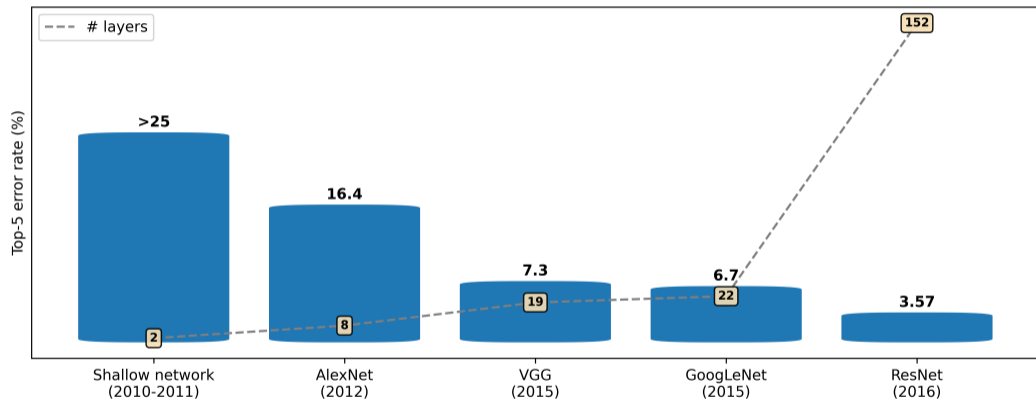
CIFAR 10

- 50,000 training images (32×32)
- 10 classes



Training curves (dashed lines) and test curves (bold lines)

The deeper the better ?!



Classification performance on ImageNet

Architecture is not everything, be careful with benchmarks!

- Training time, number of epochs/iterations, mini-batch size
- Weight initialization, optimizer, initial learning rate, learning rate scheduler
- Dropout, normalization, activation functions
- Pre-processing, post-processing

What is really due to architecture novelty?

What is due to training strategy?

➤ Really difficult to fairly compare approaches

Question

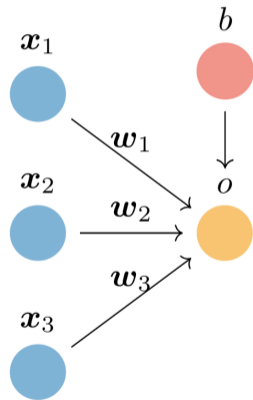
Input image of size 28×28 , floats encoded on 4 bytes

Compute the number of FLoating point OPerations (FLOPs), the number of parameters, the output shape and the output tensor memory occupation when applying the following layers independently:

- Fully-connected layers made up of 256 neurons
- Convolutional layer with 256 kernels of size 3×3 , stride 1×1 and no padding
- Max Pooling with kernel size 2×2 , stride 2×2 and no padding

Biases are considered in the computations

Input



Perceptron example = fully-connected with single output

Input shape: 3 (vector)

Output shape: 1 (scalar)

Output size: $1 \times 4 = 4$ bytes (memory occupation)

Number of parameters: 4 (w_1, w_2, w_3, b)

Two kinds of operation:

- Multiply-Accumulate Computations (MAC):

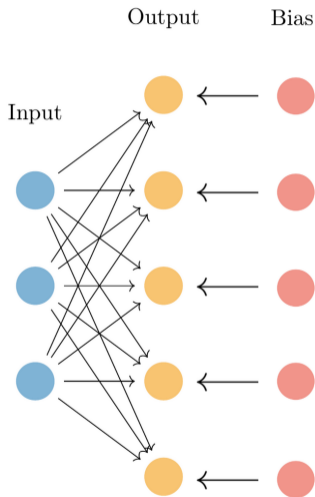
Dot product: $\hat{o} = w_1x_1 + w_2x_2 + w_3x_3$

3 MAC = 6 FLOPs (1 MAC = 2 FLOPs)

- Addition:

Bias: $o = \hat{o} + b$ (1 FLOP)

➤ Total: 7 FLOPs



Fully-connected layers made up of 256 neurons

Input image shape: $28 \times 28 = 784$

Output shape: 256

Output size: $256 \times 4 = 1,024$ bytes

Number of parameters:

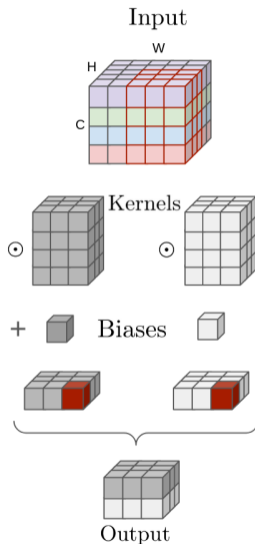
weights ($\#output \times \#input$) + biases ($\#output$)

$256 \times 784 + 256 = 200,960$ parameters

Number of FLOPs:

$2 \times \#output \times \#input + \#biases$

$2 \times 256 \times 784 + 256 = 401,664$ FLOPs



Convolutional layer with 256 kernels of size 3×3 , stride 1×1 and no padding

W: width, H: height, L: length
K: kernel size, P: padding, S: stride

Input image shape: $28 \times 28 \times 1$

Output shape:

$$W_o = H_o = \frac{L_i - K + P}{S} + 1 = \frac{28 - 3 + 0}{1} + 1 = 26 \rightarrow 26 \times 26 \times 256$$

Output size: $26 \times 26 \times 256 \times 4 = 692,224$ bytes

Number of parameters:

weights ($\#kernel \times kSize$) + biases ($\#kernel$)
 $256 \times (3 \times 3 \times 1) + 256 = 2,560$ parameters

Number of FLOPs:

$\#kernel \times \#opsPerKernel \times (2 \times kSize + \#biasPerKernel)$
 $256 \times (26 \times 26) \times (2 \times 3 \times 3 \times 1 + 1) = 3,288,064$ FLOPs

0.2	-0.1	0.1	-0.2
0.3	0.7	-0.8	0
0.1	0.6	0.9	0.2
0.5	0.1	-0.2	0
1.2	0.3	0.6	0.3
0.1	0.4	0.1	0



0.7	0.1	1.2
0.6	0.9	0.6

Max Pooling with kernel size 2×2 , stride 2×2 and no padding

W: width, H: height, L: length

K: kernel size, P: padding, S: stride

Input image shape: $28 \times 28 \times 1$

Output shape:

$$W_o = H_o = \frac{L_i - K + P}{S} + 1 = \frac{28 - 2 + 0}{2} + 1 = 14 \rightarrow 14 \times 14 \times 1$$

Output size: $14 \times 14 \times 1 \times 4 = 784$ bytes

Number of parameters: 0

➤ Non-parameteric operation, nothing is learned!

Number of FLOPs:

$$H_i \times W_i \times C_i = 28 \times 28 \times 1 = 784 \text{ FLOPs}$$

➤ Only select max value, just need to read all values

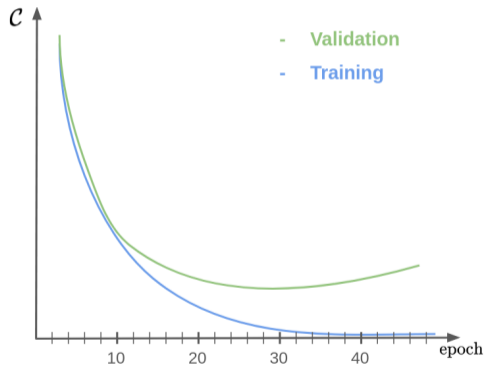
Which layer is parametric?

- ReLU
- Convolution
- Pooling
- Batch Normalization
- Dropout
- Fully-connected
- Softmax

Is a fully connected layer...

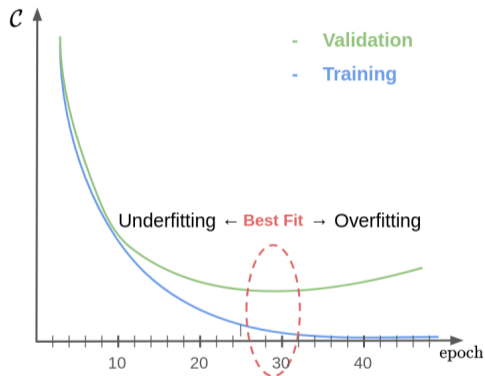
- Shift-equivariant?
 - Shift-invariant?
 - Dependant of the input size?
 - Adapted to model global context?
- Same question for a convolutional layer

Here are the curves for my cost function during my training on the training and validation sets.



Questions:

- 1 Which phenomenon can we observe?
- 2 The weights from which epoch should I keep to use my network for inference?
- 3 What could cause such phenomenon?
- 4 What could be done to improve this situation?



Answers:

- 1 This is a case of overfitting
- 2 Weights from epoch 29 led to the best results
- 3 Too many parameters with respect to the number of training data
 - The model learns non-discriminative information (noise) from training data
- 4 Use more data, dropout, normalization...

Convolutions

- Weights shared through sliding window
- Must stack some of them to enlarge receptive field
- Shift-equivariant property

Architectures

Deeper and deeper: more efficient but...

- requires more data
 - requires regularization techniques to stabilize training
 - requires more computational resources
- Importance of multi-scale information with residual connections
- Next time: transformer architecture and how to deal with the lack of data!

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- [5] Karen Simonyan and Andrew Zisserman. "Very Deep Convolutional Networks for Large-Scale Image Recognition". In: *International Conference on Learning Representations*. 2015.
- [6] Christian Szegedy, Wei Liu, Yangqing Jia, Pierre Sermanet, Scott E. Reed, Dragomir Anguelov, Dumitru Erhan, Vincent Vanhoucke, and Andrew Rabinovich. "Going deeper with convolutions". In: *Conference on Computer Vision and Pattern Recognition*. 2015, pp. 1–9.
- [7] François Chollet. "Xception: Deep Learning with Depthwise Separable Convolutions". In: *Conference on Computer Vision and Pattern Recognition*. 2017, pp. 1800–1807.

- [8] Kaiming He, Xiangyu Zhang, Shaoqing Ren, and Jian Sun. “Deep Residual Learning for Image Recognition”. In: *Conference on Computer Vision and Pattern Recognition*. 2016, pp. 770–778.