Deep Learning for Vision (DLV) Classification - part I

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Goals of this course

Knowledge

- What is classification
- Evolution of deep architectures for classification
- Key components for stable training of deep models

Skills and know-how

- Formalize classification as a deep learning task
- Evaluate a classification model
- Compare neuronal layers in terms of parameters, output shape, memory consumption, computational cost and input constraints

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- 1 The image classification task
 - What is image classification?
 - Why is it useful?
 - How to handle this task?
 - Problem formulation
 - Evaluating the task
 - Defining a training objective
- Case study: LeNet for digit recognition
- 3 Towards deep neural networks

The image classification task

What is the main subject in the image?



Output: Butterfly



Output: Candle



Output: Car

Task: find the main object in the image

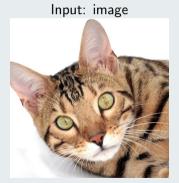
The image classification task

Fine-grained classification

The expected class is domain-specific, e.g., cat species:



Output: Angora



Output: Bengal



Output: Persan

The image classification task

Why?

- Autonomous cars
- Tagging images (keyword)
- Security: facial recognition
- Knowledge: mushroom identification (https://shroom.id/)

How?

With deep supervised learning!

Constraints

- Classes must be known beforehand
- Requires annotated data for each class
- Item can be anywhere in the image (position, size)

Supervised learning formulation

Goal

Given a set of c classes, we want to learn a function $f_{\theta}: \mathcal{X} \to \mathcal{Y}$ which associates a class to each image.

Need: annotated data

 $\mathcal{D}_{\mathsf{train}} = \{(x_i, y_i) \in \mathcal{X} \times \mathcal{Y}\}_{i=1}^n \text{: a set of } n \text{ training images}$ $x_i \in \mathbb{R}^{H_i \times W_i \times C_i} \text{: an image of height } H_i \text{, width } W_i \text{ and encoded on } C_i \text{ channels}$ $(C_i = 1 \text{ for gray-scaled, } C_i = 3 \text{ for RGB})$ $y_i \in \{0, 1\}^{\mathsf{N}_c} \text{ the one-hot encoded class}$

Example for three classes: butterfly, candle and car

 $\begin{aligned} \text{Butterfly} &\rightarrow [1,0,0] \\ \text{Candle} &\rightarrow [0,1,0] \\ \text{Car} &\rightarrow [0,0,1] \end{aligned}$

Key component: softmax activation

Softmax

Let o be the output of the network: $o = f_{\theta}(x)$

Class probabilities can be obtained through softmax activation:

$$\hat{y} = \mathsf{softmax}(o) \qquad \Leftrightarrow \qquad \hat{y}_i = \frac{e^{o_i}}{\displaystyle\sum_{j=1}^c e^{o_j}}$$

Example for three classes: butterfly, candle and car

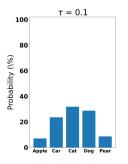
Class	o_i	\hat{y}_i
Butterfly	11.5	21.42%
Candle	12.8	78.58%
Car	-2.4	0.00%

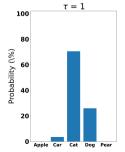
Softmax: temperature factor

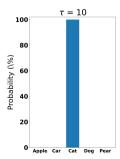
Adding a temperature factor au enables to modulate the sharpness of the probability

distribution:
$$\hat{y}_i = \frac{e^{o_i/ au}}{\displaystyle\sum_{j=1}^c e^{o_j/ au}}$$

- ➤ Could be optimized at training time through gradient descent
- ➤ Could be used at inference time to bring stochasticity (NLP)







Evaluation

Metrics

Top-1 accuracy:

$$a(\hat{y}, y) = \begin{cases} 1 & \text{if } \arg\max(\hat{y}) = \arg\max(y) \\ 0 & \text{otherwise} \end{cases}$$

Similarly, top-5 accuracy.

Goal

$$\min_{\theta} \frac{1}{n} \sum_{i=1}^{n} a(f_{\theta}(x_i), y_i)$$

But a not differentiable.

Loss for classification

Cross-entropy loss

$$\mathcal{L}_{CE}(\hat{y}, y) = -\sum_{j=1}^{c} y_j \log(\hat{y}_j)$$

= $-y_{c^*} \log(\hat{y}_{c^*})$

where c^* is the index of the ground truth class.

New goal

$$\min_{\theta} \frac{1}{n} \sum_{i=1}^{n} \mathcal{L}_{\mathsf{CE}}(f_{\theta}(x_i), y_i)$$

Now the cost function is differentiable, but...

Training minimizes the error over the training set only

Metric computations

Compute the top-1 accuracy and top-5 accuracy for the following predictions/ground truth

#	Scores				Ground			
Sample	Apple	Bike	Car	Cat	Dog	Pear	Plane	truth
1	15	10	2	5	20	8	1	Apple
2	2	5	10	8	4	12	3	Car
3	12	2	1	6	4	5	9	Car
4	1	2	3	4	5	6	7	Plane
5	7	6	5	4	3	2	1	Bike

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 - Pytorch implementation of the whole task
 - Training and evaluation
- 3 Towards deep neural networks

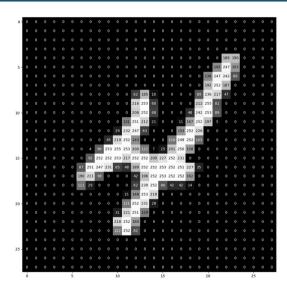
The MNIST dataset (1998) [1]



A handwritten digit classification dataset

- ullet Gray-scaled images of size 28×28
- 10 classes: digits from 0 to 9
- 60,000 training samples + 10,000 test samples

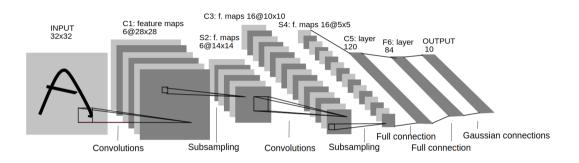
The MNIST dataset (1998) [1]



Very low resolution

Gray-scaled: only one dimension for color ($28 \times 28 \times 1 \rightarrow 784$ values in total)

LeNet-5 architecture (1998) [1]



A Convolutional Neural Network (CNN)

- 2 convolutions followed by max pooling
- 3 fully-connected layers
- Originally designed for inputs of size 32×32

```
from torch import nn
class LeNet(nn.Module):
    def init (self):
        super(LeNet, self).__init__()
        self.conv1 = nn.Conv2d(1, 6, kernel_size=5, stride=1, padding=0)
        self.conv2 = nn.Conv2d(6, 16, kernel_size=5, stride=1, padding=0)
        self.fc1 = nn.Linear(400, 120)
        self.fc2 = nn.Linear(120.84)
        self.fc3 = nn.Linear(84.10)
        # Non-parametric
        self.max_pool = nn.MaxPool2d(kernel_size=2, stride=2)
    def forward(self, x):
        out = torch.tanh(self.conv1(x))
        out = self.max_pool(out)
        out = torch.tanh(self.conv2(out))
        out = self.max_pool(out)
        out = out.reshape(out.size(0), -1)
        out = torch.tanh(self.fc1(out))
        out = torch.tanh(self.fc2(out))
        out = self.fc3(out)
        return out
```

Model analysis

Model instanciation
net = LeNet()

Summary

from torchsummary import summary summary (net, (1, 32, 32))

Forward pass

x: input image (1, 1, 32, 32)

o = net(x) # (1, 10)

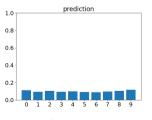
hat_y = torch.softmax(o, dim=1)



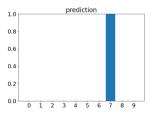
Input image x

Layer (type:depth-idx)	Output Shape	Param #				
Conv2d: 1-1	[-1, 6, 28, 28]	156				
MaxPool2d: 1-2	[-1, 6, 14, 14]					
Conv2d: 1-3	[-1, 16, 10, 10]	2,416				
MaxPool2d: 1-4	[-1, 16, 5, 5]					
Linear: 1-5	[-1, 120]	48,120				
Linear: 1-6	[-1, 84]	10,164				
Linear: 1-7	[-1, 10]	850				

Total params: 61,706



Before training



After training

Pytorch implementation: training

```
from torch.utils.data import DataLoader
from torchvision.transforms import Compose, ToTensor, Resize
from torchvision.datasets import MNIST
num epochs = 10
batch size = 100
learning rate = 0.01
transform = Compose([ToTensor(), Resize((32, 32))])
train_loader = DataLoader(MNIST(root="./cache", train=True, download=True, transform=transform),
        batch size=batch size. shuffle=True)
test_loader = DataLoader(MNIST(root="./cache", train=False, download=True, transform=transform),
        batch size=batch size. shuffle=False)
device = ("cuda" if torch.cuda.is_available() else "cpu")
net = LeNet().to(device)
optimizer = torch.optim.SGD(net.parameters(), lr=learning_rate)
loss_fn = torch.nn.CrossEntropyLoss()
for epoch in range(num_epochs):
   train epoch(train loader, net, optimizer, loss fn)
    eval(test_loader, net)
```

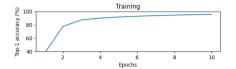
Pytorch implementation: training

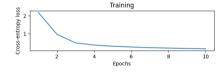
```
def train epoch(dataloader, net, optimizer, loss fn):
    epoch_loss = list()
    epoch_top1_acc = list()
   net train()
   for x, y in dataloader:
        batch_loss, batch_top1_acc = train_batch(x, y, net, optimizer, loss_fn)
        epoch_loss.append(batch_loss)
        epoch_top1_acc.append(batch_top1_acc)
        current_loss = np.mean(epoch_loss)
        current_top1_acc = 100 * np.mean(epoch_top1_acc)
    print(f"Train epoch {epoch+1}: loss: {current_loss:.4f} ; top-1 accuracy: {current_top1_acc:.2f}\%")
def train_batch(x, y, net, optimizer, loss_function):
   x, y = x.to(device), y.to(device)
    optimizer.zero_grad() # zero the gradient buffers
    output = net(x)
    loss = loss_function(output, y)
   loss.backward()
   optimizer.step()
   top1_acc = compute_top1_acc(output, y)
   return loss.item(), top1_acc.item()
```

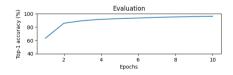
```
def compute_top1_acc(prediction, ground_truth):
    # prediction (B. N), around truth (B)
    best_prediction = torch.argmax(prediction, dim=1)
   return torch.mean(best_prediction == ground_truth, dtype=torch.float)
def eval_batch(x, v, net):
    x, y = x.to(device), y.to(device)
    output = net(x)
   top1_acc = compute_top1_acc(output, y)
   return top1_acc.item()
def eval(dataloader, net):
   top1_acc = list()
   net_eval()
    with torch.no_grad():
        for x, y in dataloader:
            batch_top1_acc = eval_batch(x, y, net)
            top1 acc.append(batch top1 acc)
   top1\_acc = 100 * np.mean(top1\_acc)
    print(f"Eval epoch {epoch+1}: top-1 accuracy: {top1_acc:.2f}%")
```

Let's run it!

```
Train epoch 1: loss: 2.1790; top-1 accuracy: 34.73%
Eval epoch 1: top-1 accuracy: 57.64%
Train epoch 2: loss: 1.0242; top-1 accuracy: 73.68%
Eval epoch 2: top-1 accuracy: 84.61%
Train epoch 3: loss: 0.5052; top-1 accuracy: 86.74%
Eval epoch 3: top-1 accuracy: 88.76%
Train epoch 4: loss: 0.3701; top-1 accuracy: 89.77%
Eval epoch 4: top-1 accuracy: 91.27%
Train epoch 5: loss: 0.2959; top-1 accuracy: 91.62%
Eval epoch 5: top-1 accuracy: 92.71%
Train epoch 6: loss: 0.2447; top-1 accuracy: 93.05%
Eval epoch 6: top-1 accuracy: 93.82%
Train epoch 7: loss: 0.2068; top-1 accuracy: 94.17%
Eval epoch 7: top-1 accuracy: 94.70%
Train epoch 8: loss: 0.1780; top-1 accuracy: 95.00%
Eval epoch 8: top-1 accuracy: 95.44%
Train epoch 9: loss: 0.1555; top-1 accuracy: 95.62%
Eval epoch 9: top-1 accuracy: 96.04%
Train epoch 10: loss: 0.1384 : top-1 accuracy: 96.04%
Eval epoch 10: top-1 accuracy: 96.50%
```





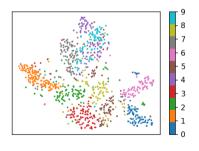


Disentengling

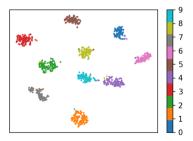
T-SNE [2]

T-SNE (T-distributed Stochastic Neighbor Embedding):

Approach to reduce dimensions by preserving relative distance between points from input space to output space.



T-SNE on raw data



T-SNE on latent representation

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 - AlexNet
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 - GoogleNet
 - Depthwise Separable Convolution
 - ResNet

The ImageNet dataset (2012) [3]

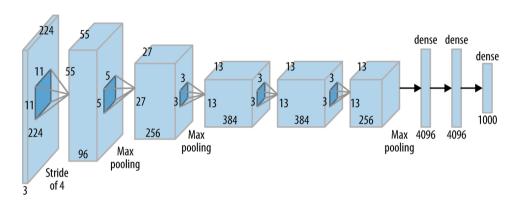
A large-scale dataset for image classification

- 1,000 classes
- 1.2 M training images (average size: 469x387 pixels)



source: https://cs.stanford.edu/people/karpathy/cnnembed/

AlexNet (2012) [3]



source: oreilly.com

- 8-layer model (5 convolutions + 3 denses)
- Top-5 accuracy on ImageNet: 83.6%

Model definition

```
from torch import nn
class AlexNet(nn.Module):
    def __init__(self, num_classes=10):
        super(AlexNet, self), init ()
        self.conv1 = nn.Sequential(
           nn.Conv2d(3, 96, kernel size=11, stride=4, padding=2).
           nn.BatchNorm2d(96).
           nn.ReLU().
           nn.MaxPool2d(kernel size=3, stride=2))
        self.conv2 = nn.Sequential(
           nn.Conv2d(96, 256, kernel_size=5, stride=1, padding=2).
           nn.BatchNorm2d(256),
           nn.ReLU().
           nn.MaxPool2d(kernel size=3, stride=2))
        self.conv3 = nn.Sequential(
           nn.Conv2d(256, 384, kernel size=3, stride=1, padding=1).
           nn.BatchNorm2d(384).
           nn.ReLU())
        self.conv4 = nn.Sequential(
            nn.Conv2d(384, 384, kernel size=3, stride=1, padding=1).
           nn BatchNorm2d(384).
           nn.ReLU())
        self.conv5 = nn.Sequential(
           nn.Conv2d(384, 256, kernel size=3, stride=1, padding=1),
           nn.BatchNorm2d(256).
           nn.ReLU().
           nn.MaxPool2d(kernel size=3, stride=2))
```

```
self.fc1 = nn.Sequential(
       nn.Dropout(0.5),
       nn.Linear(9216, 4096),
       nn ReLU())
   self.fc2 = nn.Sequential(
       nn.Dropout(0.5).
       nn.Linear(4096, 4096).
       nn.ReLU())
   self.fc3 = nn.Sequential(
       nn.Linear(4096, num classes))
def forward(self. x):
  out = self.conv1(x)
  out = self.conv2(out)
  out = self.conv3(out)
  out = self.conv4(out)
  out = self conv5(out)
  out = out.reshape(out.size(0), -1)
  out = self.fc1(out)
  out = self.fc2(out)
  out = self.fc3(out)
   return out
```

Model analysis

Instanciation
net = AlexNet()

Summary

from torchsummary import summary summary(net, (3, 224, 224))

Total params: 58,325,066 Input size (MB): 0.57 Params size (MB): 222.49

Key items

Feature extraction

- Convolution for local feature extraction (shift-equivariance)
- Dense for global feature extraction

Regularization

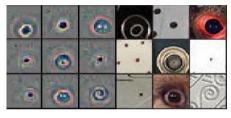
- BatchNorm
- Dropout
- Data augmentation

Activation

• ReLU activation for vanishing gradient issue

Visualizing features [4]

Layer 2



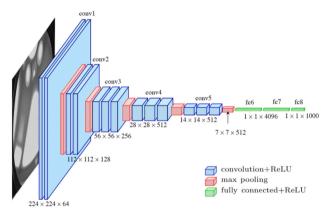


Layer 5





Visual Geometry Group (VGG, 2015) [5]



VGG-16

source: Ferguson et al., International Conference on Big Data, 2017

VGG: a family of models

	VGG-11	VGG-13	VGG-16	VGG-19	VGG-19 [384]
# conv. layers	8	10	13	16	16
# dense layers	3	3	3	3	3
# parameters (M)	133	133	138	144	144
ImageNet (valid) Top-1 accuracy (%)	70.4	71.3	73.0	72.7	73.1

VGG-19 top-5 accuracy on ImageNet (test): 92.7%

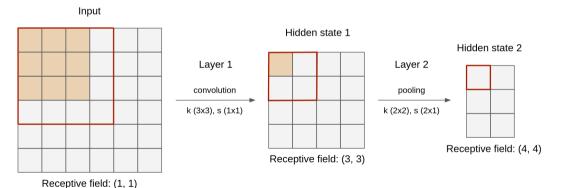
➤ The deeper (and the wider) the better !

But: # parameters \nearrow , memory consumption \nearrow , computations \nearrow .

Receptive field

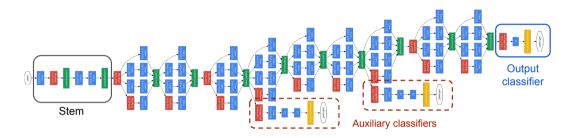
Definition

The receptive field corresponds to the size of the region in the input that produces the feature of a given hidden state.



➤ What is the receptive field in the decision layer?

GoogLeNet (Inception V1, 2015) [6]



- Conv. stem + stack of "Inception" blocks + output classifier
- Auxiliary classifiers used during training only
- 6.8 M parameters
- Top-5 accuracy on ImageNet: 93.3%

Auxiliary classifiers

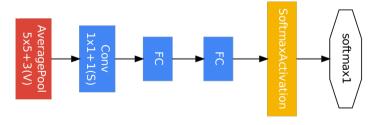
Idea

Assumption: latent representations are discrimative enough in the middle of the network.

Goal: improve gradient propagation for lower layers.

Global loss

$$\mathcal{L} = \mathcal{L}_{\mathsf{output}} + 0.3\mathcal{L}_{\mathsf{aux}_1} + 0.3\mathcal{L}_{\mathsf{aux}_2}$$



Inception block

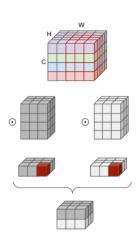
```
class Inception(nn.Module):
    def __init__(self, in_channels, out_br1, red_br2, out_br2, red_br3, out_br3, out_br4):
        super().__init__()
        self.branch1 = nn.Conv2d(in channels, out br1, kernel size=1) # 128
        self.branch2 = nn.Sequential(
             nn.Conv2d(in_channels, red_br2, kernel_size=1), # 128
            nn.Conv2d(red_br2, out_br2, kernel_size=3, padding=1) # 256
        self.branch3 = nn.Sequential(
             nn.Conv2d(in channels, red br3, kernel size=1), # 24
             nn.Conv2d(red_br3, out_br3, kernel_size=5, padding=1), # 64
        self.branch4 = nn.Sequential(
                                                                                Filter
             nn.MaxPool2d(kernel_size=3, stride=1, padding=1), # 512
                                                                              concatenation
            nn.Conv2d(in_channels, out_br4, kernel_size=1), # 64
                                                                           3x3 convolutions
                                                                                                     1x1 convolutions
                                                                                        5x5 convolutions
    def forward(self, x): # (B, 512, H, W)
                                                             1x1 convolutions
        branch1 = self.branch1(x) # (B. 128. H. W)
                                                                            1v1 convolutions
                                                                                        1v1 convolutions
                                                                                                     3x3 max pooling
        branch2 = self.branch2(x) # (B, 256, H, W)
        branch3 = self.branch3(x) # (B. 64. H. W)
        branch4 = self.branch4(x) # (B. 64. H. W)
                                                                              Previous laver
        return torch.cat([branch1, branch2, branch3, branch4], 1)
```

Inception block

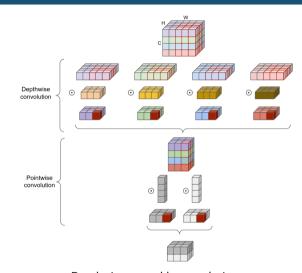
➤ 4 times less parameters

Another way to reduce the number of parameters: Depthwise Separable Convolutions

Depthwise Separable Convolutions (DSC, 2017) [7]



Standard convolution: $k \text{ kernels } 3 \times 3 \times C$



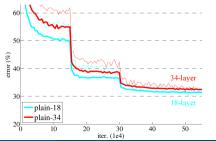
Depthwise separable convolution: C kernels $3\times 3\times 1$ followed by k kernels $1\times 1\times C$

Depthwise Separable Convolutions (DSC) [7]

				# weights	# weights
C	$n_{\mathbf{k}}$	$k_{ m H}$	$k_{ m W}$	convolution	DSC
				$C \times k_{\mathrm{H}} \times k_{\mathrm{W}} \times n_{\mathrm{k}}$	$C \times (k_{\mathrm{H}} \times k_{\mathrm{W}} + n_{\mathrm{k}})$
512	512	3	3	2.4M	0.3M
1028	1028	3	3	9.5M	1.1M
1028	1028	5	5	26.4M	1.1M

Going deeper [8]





- Stacking more layers leads to poorer results
- Not an overfiting issue (same behaviour during training)
- Why? If shallower network was optimal, additional layers should tend to identity mapping through training.

Residual connection

Goal: to make optimization easier

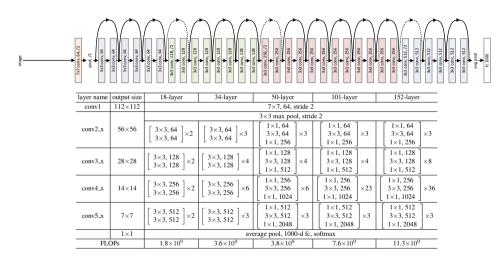
```
class Residual (nn. Module):
   def __init__(self, in_channels, out_channels):
        super().__init__()
        self.conv1 = nn.Conv2d(in channels, out channels)
                                                                                                weight layer
        self.bn1 = nn.BatchNorm2d(out channels)
        self.relu = nn.ReLU(inplace=True)
                                                                             \mathcal{F}(\mathbf{x})
                                                                                                          relu
        self.conv2 = nn.Conv2d(out_channels, out_channels)
                                                                                                                                    \mathbf{x}
        self.bn2 = nn.BatchNorm2d(out channels)
                                                                                                weight laver
   def forward(self, x):
                                                                                                                               identity
        identity = x
        out = self.conv1(x)
        out = self.bn1(out)
        out = self.relu(out)
        out = self.conv2(out)
        out = self.bn2(out)
        out += identity
        out = self.relu(out)
```

Assumption: it is easier to learn the residual mapping $\mathcal{F}(x) = \mathcal{H}(x) - x$ than directly \mathcal{H} . Easier to set weights to 0 (in case identity mapping is optimal) than to learn the identity mapping through non-linear functions.

➤ Improve gradient propagation + multi-scale feature extraction

return out

ResNet (2016) [8]

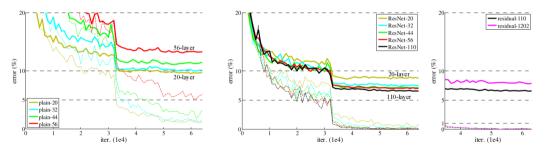


Top-5 accuracy: 96.43% for the deepest model

ResNet (2016) [8]

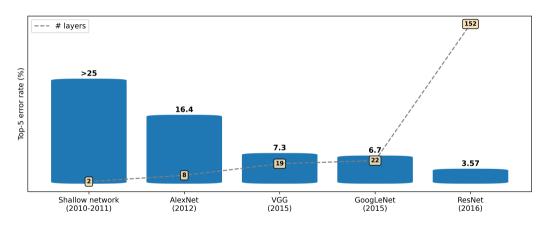
CIFAR 10

- 50,000 training images (32×32)
- 10 classes



Training curves (dashed lines) and test curves (bold lines)

The deeper the better ?!



Classification performance on ImageNet

We talked a lot about architectures, but...

Architecture is not everything, be careful with benchmarks!

- Training time, number of epochs/iterations, mini-batch size
- Weight initialization, optimizer, initial learning rate, learning rate scheduler
- Dropout, normalization, activation functions
- Pre-processing, post-processing

What is really due to architecture novelty? What is due to training strategy?

➤ Really difficult to fairly compare approaches

Exercise: reminder on layers

Question

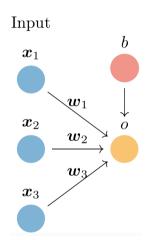
Input image of size 28×28 , floats encoded on 4 bytes

Compute the number of FLoating point OPerations (FLOPs), the number of parameters, the output shape and the output tensor memory occupation when applying the following layers independently:

- Fully-connected layers made up of 256 neurons
- Convolutional layer with 256 kernels of size 3×3 , stride 1×1 and no padding
- Max Pooling with kernel size 2×2 , stride 2×2 and no padding

Biases are considered in the computations

Example



Perceptron example = fully-connected with single output

Input shape: 3 (vector)
Output shape: 1 (scalar)

Output size: $1 \times 4 = 4$ bytes (memory occupation)

Number of parameters: 4 (w_1, w_2, w_3, b)

Two kinds of operation:

- Multiply-Accumulate Computations (MAC): Dot product: $\hat{o} = w_1x_1 + w_2x_2 + w_3x_3$ 3 MAC = 6 FLOPs (1 MAC = 2 FLOPs)
- Addition: Bias: $o = \hat{o} + b$ (1 FLOP)
- ➤ Total: 7 FLOPs

Quizz

Which layer is parametric?

- ReLU
- Convolution
- Pooling
- Batch Normalization
- Dropout
- Fully-connected
- Softmax

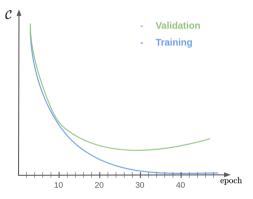
Quizz

Is a fully connected layer...

- Shift-equivariant?
- Shift-invariant?
- Dependant of the input size?
- Adapted to model global context?
- ➤ Same question for a convolutional layer

Case study

Here are the curves for my cost function during my training on the training and validation sets.



Questions:

- Which phenomenon can we observe?
- The weights from which epoch should I keep to use my network for inference?
- What could cause such phenomenon?
- What could be done to improve this situation?

Conclusion

Convolutions

- Weights shared through sliding window
- Must stack some of them to enlarge receptive field
- Shift-equivariant property

Architectures

Deeper and deeper: more efficient but...

- requires more data
- requires regularization techniques to stabilize training
- requires more computational resources
- ➤ Importance of multi-scale information with residual connections
- ➤ Next time: transformer architecture and how to deal with the lack of data!

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