



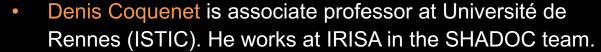
# M2 SIF - DLV Deep Learning for Vision

Elisa Fromont – Denis Coquenet



#### Who are we?

- Elisa Fromont is professor at Université de Rennes (ISTIC). She works at the IRISA/INRIA Lab in the LACODAM/MALT ("Machine Learning with Temporal Constraints") team.
- Research domain (AI)
  - XAI
  - Machine Learning/Data Mining applied to
    - computer vision,
    - · time series analysis,
    - fraud and anomaly detection
- Mail : <u>elisa.fromont@irisa.fr</u>



- Research domain (AI)
  - Document Analysis
  - Computer vision
- Mail: denis.coquenet@irisa.fr





# How will I be graded?

- Final exam 1h30 (11/12/2024 à 16h45). Exercises similar to the ones seen during the lectures.
- Oral presentation 15' (E.g. 16/12/2024 PM). In the last session. A little manipulation of a deep neural network (group of 2-3 persons). You will be provided with a learned model (Pytorch code) and expected to:
  - Explain/show (10') to the class, the main parts of the code
  - Test it (5') on new examples (that you will provide) online in class

10 pts: you have managed to use the model (install the necessary environment and run it).

6 pts: your 15' explanations are clear.

4 pts: bonus if you managed to do additional tasks. E.g. propose another model for the same task, re-train the model on other data, change the output classes, combine it with something else, .....

#### Which projects?

(projects can be done twice)

- 1) Classification / Vision Transformer / ImageNet
- 2) Object detection / SSD / COCO
- 3) Segmentation / FCN / Pascal VOC
- 4) Text line recognition / FCN / IAM

Each group needs to register now (max 3 persons per group) on the file indicated here:

https://people.irisa.fr/Denis.Coquenet/courses/DLV.html

#### Outline

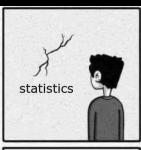
#### 21h 2 parts

#### Part 1 (7h30)

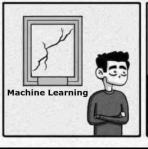
- Intro ML and main computer vision (learning) problems (1h30)
- NN learning bases (4h00)
  - Perceptron, MLP, Backprop, learning tricks
- Deep learning bases (2h)
  - Convolutional Neural Networks (CNN)
  - Recurrent Neural Networks (LSTM, GRU)
  - Seq2Seq (CNN + LSTM)

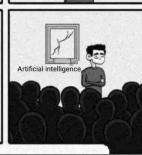
#### Part 2 (12h00) with practical sessions

- Vision architectures for feature extraction (VGG, Resnet, Vision Transformer)
- Object detection dedicated architectures (YOLO, RCNN)
- Semantic segmentation architectures (FCN, U-Net, ...)
- Generative models for vision : 3h
  - GAN & VAE for vision
  - Diffusion Models
- Application (Handwriting recognition): 1h30
- Oral Presentations : 3h (mini project)



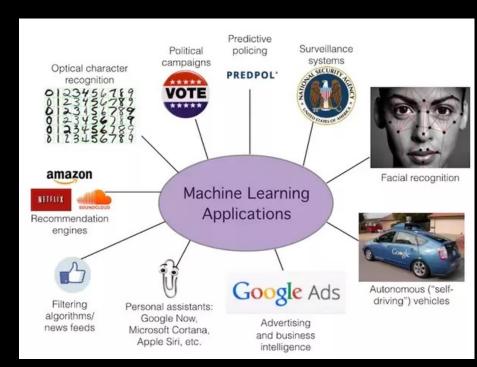






# Machine Learning?

**Machine learning is** a sub-field of AI that explores the construction and study of algorithms that enable machines to learn and acquire knowledge from past data.





# Machine Learning Settings

#### 1. Supervised learning

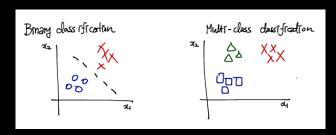
(classification, regression) Given a dataset (« training data »)  $S = \{(x_i, y_i) | i = 1..n\}$ , find a model **h such that**, for any new example **x** (« test data »), we can predict **y** (h(x) = y)

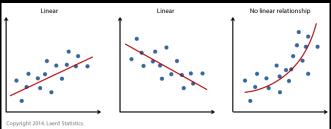
#### 2. Unsupervised learning

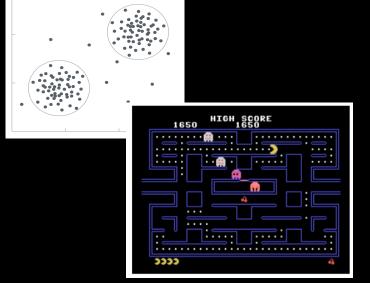
Automatically find relevant (to be defined) structural information in the data  $\{x_i|i=1..n\}$ 

#### 3. Reinforcement learning

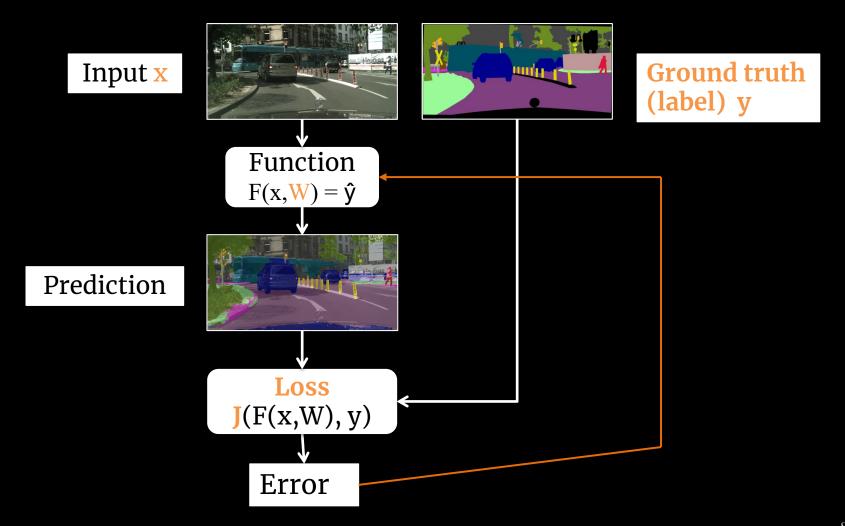
Learn from experience what actions to take to optimize a quantitative reward over time





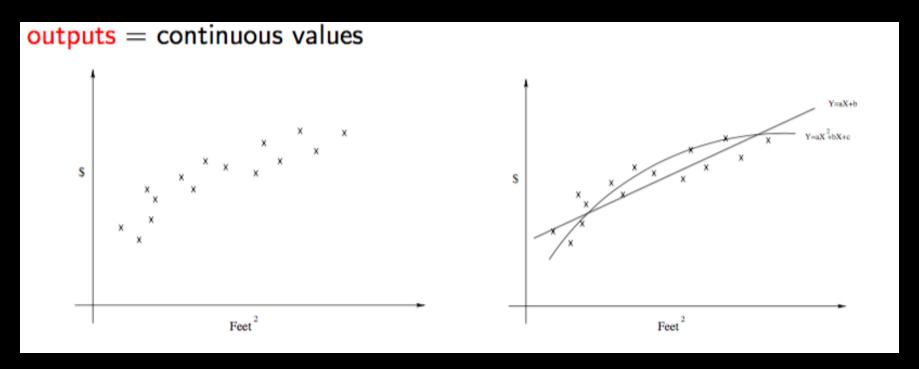


#### Supervised Machine Learning 101



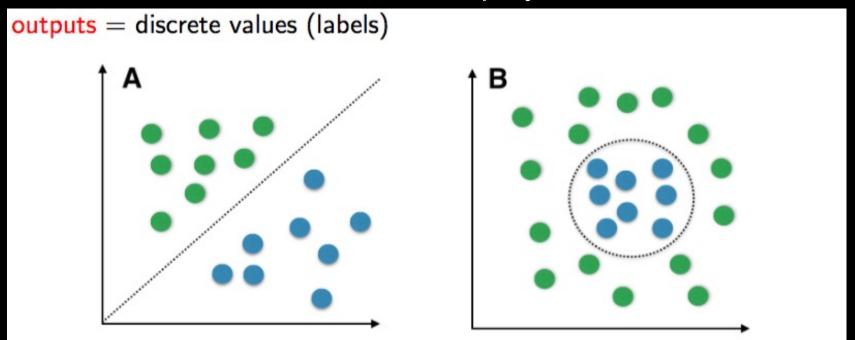
#### Supervised Learning: Regression

The computer has access to training input examples and their desired outputs, given by a teacher or an oracle. The aim is to learn a general rule that maps inputs to outputs. Once learned, the rule can be deployed on test data.



## Supervised Learning: Classification

The computer has access to training input examples and their desired outputs, given by a teacher or an oracle. The aim is to learn a general rule that maps inputs to outputs. Once learned, the rule can be deployed on test data.



## Supervised learning algorithm

Let S be a set of m training examples  $\{z_i = (x_i, y_i)\}_{i=1}^m$  independently and identically (i.i.d.) from an unknown joint distribution  $D_{\mathcal{Z}}$  over a space  $\mathcal{Z} = \mathcal{X} \times \mathcal{Y}$ .

- The  $x_i$  values  $(x_i \in X)$  are typically vectors of the form  $\langle x_{i1},...,x_{id} \rangle$ , whose components are usually called features.
- ② The y values  $(y \in Y)$  are drawn from a discrete set of classes (typically  $Y = \{-1, +1\}$  in binary classification) or are continuous values (regression).
- **3** We assume that there exists a target function f such that y = f(x),  $(x, y) \in \mathcal{Z}$ .

## True Risk (Generalization Error)

In order to pick the best hypothesis h\*, we need a criterion to assess the quality of any hypothesis h.

The true risk  $\mathcal{R}(h)$  (also called **generalization error**) of a hypothesis h corresponds to the expected error made by h over the entire distribution  $D_{\mathcal{Z}}$ :

$$\mathcal{R}(h) = \mathbb{E}_{z=(x,y)\sim D_{\mathcal{Z}}} \mathbb{1}_{y\neq h(x)}$$

where  $z \sim D_{\mathcal{Z}}$  denotes that z is drawn i.i.d. from  $D_{\mathcal{Z}}$ .

The goal of supervised learning then becomes **finding a hypothesis h that achieves the smallest <u>true risk</u>.** 

# Empirical Risk (~ Training Error)

Unfortunately, R(h) cannot be computed because  $D_Z$  is unknown. We can only measure it on the training sample S. This is called the **empirical risk**.

Let  $S = \{z_i = (x_i, y_i)\}_{i=1}^m$  be a training sample. The empirical risk  $\hat{\mathcal{R}}(h)$  (also called empirical error) of a hypothesis  $h \in H$  corresponds to the **expected error** suffered by h on the instances in S.

$$\overset{\wedge}{\mathcal{R}}(h) = \mathbb{E}_{\{z_i = (\boldsymbol{x_i}, y_i)\}_{i=1}^m} \mathbb{1}_{y \neq h(x)}$$

#### 0/1 Loss or Classification Error

A loss function L :  $H \times Z \rightarrow R+$  measures the degree of agreement between h(x) and y.

$$\mathcal{L}(h(\mathbf{x}), y) = \mathbb{1}_{y \neq h(\mathbf{x})}$$

corresponds to the proportion of time h(x) and y agree, i.e. the proportion of correct predictions.

#### In binary classification,

$$\mathcal{L}(h(\mathbf{x}), y) = \begin{cases} 1 & \text{if } h(\mathbf{x})y < 0 \\ 0 & \text{otherwise} \end{cases}$$

# Surrogate Losses (Convex Approximations of the 0/1 loss)

Due to the non convexity of the 0/1 loss, minimizing (or approximately minimizing) R(h) is known to be NP-hard even for simple classes of hypotheses (Ben-David et al., 2003).

• the **hinge loss** (used in SVM):

$$\mathcal{L}_{hinge}(h(\boldsymbol{x}), y) = [1 - yh(\boldsymbol{x})]_{+} = \max(0, 1 - yh(\boldsymbol{x}))$$

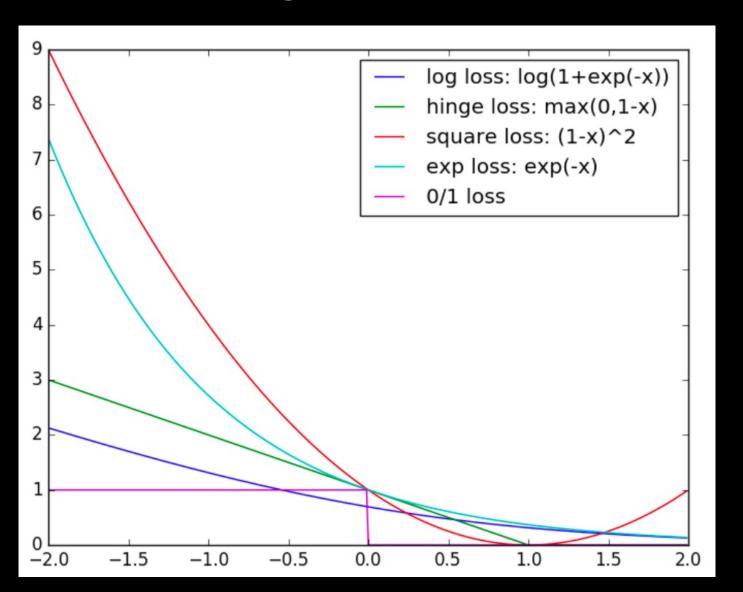
• the **exponential loss** (used in boosting):

$$\mathcal{L}_{exp}(h(\mathbf{x}), y) = \exp(yh(\mathbf{x}))$$

• the **logistic loss** (used in logistic regression):

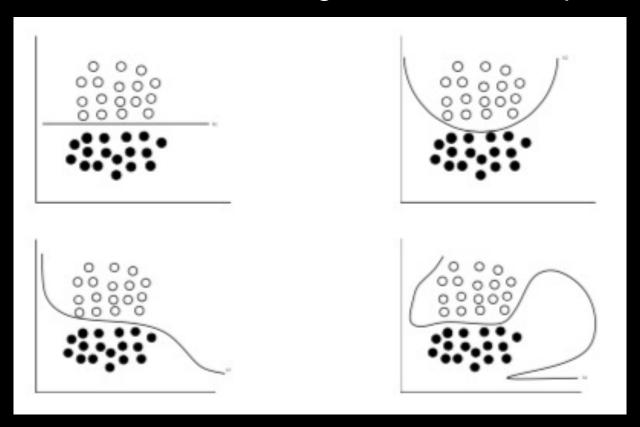
$$\mathcal{L}_{log}(h(\mathbf{x}), y) = \log(1 + \exp(yh(\mathbf{x})))$$

#### Surrogate Losses



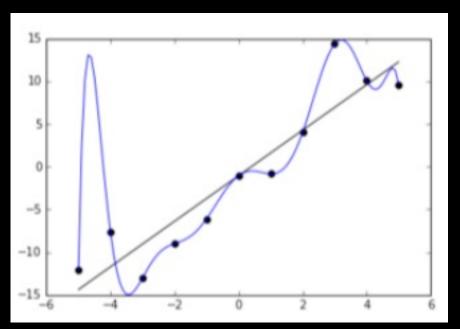
#### What is a good classifier?

From a same machine learning problem, several class of classifiers can be used leading to the same empirical rate.



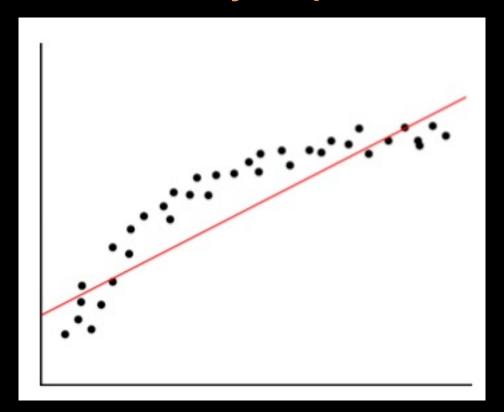
#### Overfitting

In statistics, overfitting occurs when a model describes random error or noise instead of the underlying relationship. In ML: when a model is excessively complex or the size of the training dataset is small (too many degrees of freedom w.r.t. the amount of available data).

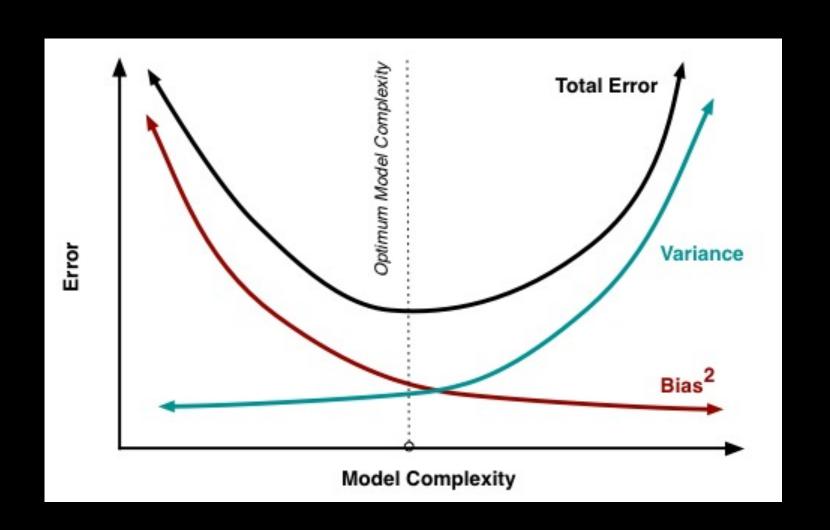


#### Underfitting

Underfitting occurs when a statistical model or ML algorithm cannot capture the underlying trend of the data = when a model is **excessively simple**.



#### Bias vs Variance



#### Regularization

- A way of avoiding overfitting
- Regularization, in mathematics and statistics and particularly in ML, refers to a process of introducing additional information in order to solve an ill-posed problem or to prevent overfitting.

This information is usually of the form of a **penalty for complexity**, such as restrictions for smoothness or bounds on the vector space norm.

#### Regularized Risk Minimization

New optimization problem:

$$h = \underset{h_i \in \mathcal{H}}{\operatorname{arg\,min}} \, \hat{\mathcal{R}}(h_i) + \lambda ||h_i||$$

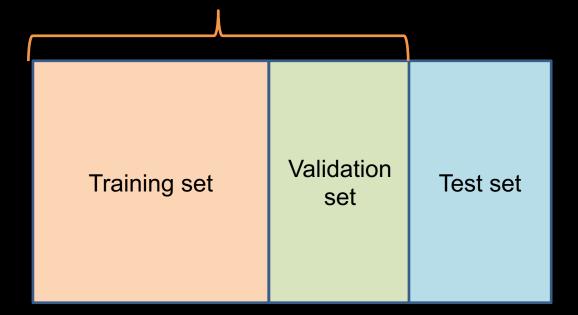
#### where

- $\bullet$   $\lambda$  is the regularization parameter (or hyper-parameter)
- ||.|| is a norm function

We select a hypothesis h that achieves the best trade-off between empirical risk minimization and regularization.

# Empirical estimation of the generalization error (true risk) = how good your model is

- 1. Estimation using the learning set S
- 2. Estimation using a test set T
- 3. Estimation by cross-validation



# Estimation using the learning set S

Minimize the empirical risk over the m examples of S to choose the hypothesis  $h \in H$ :

$$h = rg \min_{h_i \in \mathcal{H}} \hat{\mathcal{R}}(h_i)$$

$$\hat{\mathcal{R}}^{\mathcal{L}}(h(\boldsymbol{x}), y) = \frac{1}{m} \sum_{i=1}^{m} \mathcal{L}(h(\boldsymbol{x_i}), y)$$

<u>Drawback:</u> **too optimistic** because it tends to overestimate the generalization ability of h, and does not allow us to detect overfitting situations (Breiman 84).

# Estimation using the test set T

Split in two subsets such that  $S = S^* \cup T$ .  $S^*$  is used to build h, while T is used to test h on examples that have not been used for its inference, but for which the label y is known.

with

$$h = rg \min_{h_i \in \mathcal{H}} \hat{\mathcal{R}}(h_i)$$

$$\hat{\mathcal{R}}^{\mathcal{L}}(h(\boldsymbol{x}), y) = \frac{1}{|T|} \sum_{(\mathbf{x_i}, y_i) \in T} \mathcal{L}(h(\boldsymbol{x_i}), y)$$

<u>Drawback</u>: reduces the number of examples available for learning h.

#### Estimation by cross-validation

**Input**: A learning algorithm L, a set of training examples S

**Output**: an estimate  $\hat{\epsilon}'_h$ 

Divide randomly S in k subsets  $S_1, ..., S_k$ ;

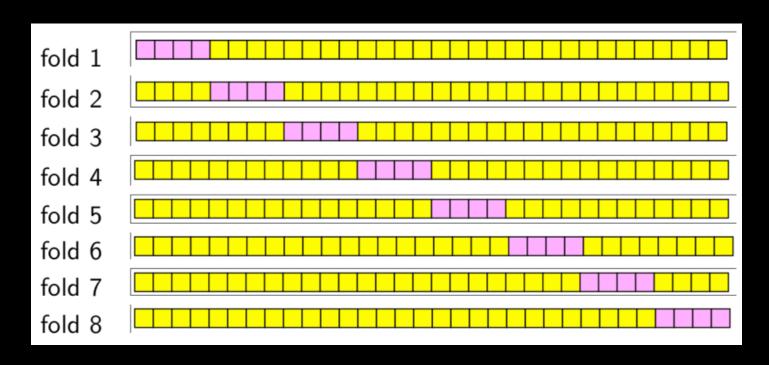
for i=1 to k do

Run L on the sample  $S - S_i$  and generate the classifier  $h_i$ ;

Deduce the estimate of the real risk such that  $\hat{\epsilon}'_h = \frac{1}{k} \sum_{i=1}^k \hat{\epsilon}'_{h_i}$  where  $\hat{\epsilon}'_{h_i}$  is the error rate of  $h_i$  on the subset  $S_i$ ;

<u>Drawback</u>: costly from a complexity point of view. Tricky when needed for nested cross-validation to tune hyperpameters too (cf. later)

#### Ex: 8-fold cross validation



- For each fold i: learn from yellow, test on pink → get ê<sub>i</sub>
- $\hat{e} = somme (\hat{e}_i) / 8$
- variant for small dataset: leave-one-out = 1 example in test

## Tuning hyperparameters





- Bad idea: choose the one with the lowest training error (problem of overfitting).
- Worst idea: choose the best parameter on the test set
- Good idea:
  - Use a validation set!
  - k-fold cross-validation + select the value for hyper-parameter with the lowest cross-validation error.



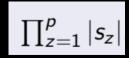
Hyperparameter tuning is different from model performance estimation

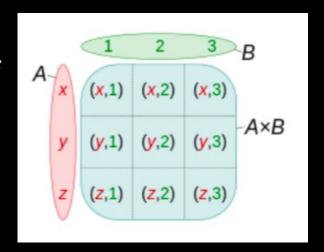
(without test set, may need 2 loops of cross-val to do both)

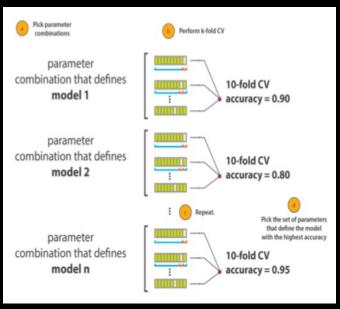
#### Which hyperparameters values to test?

A way to choose the combinations of values for multiple hyper-parameter tuning (p):

- 1. fix the set  $s_z$  of possible values per hyper-parameter  $\lambda_z$  (ex.  $s_1 = \{0.001, 0.01, 0.1, 1, 10, 100\}$ );
- 2. compute a cross-validation for each combination of values  $(\lambda_1, \lambda_2, ...)$ ;
- 3. select the combination of values ( $\lambda_1$ ,  $\lambda_2$ , ...) that gives the best error.
- 4. Total number of cross-validations:







# Types of errors = Confusion Matrix

#### **Prediction**

(in class c) (not in class c)

Ground Truth (not in class c)

True	False
Positive (TP)	Negative (FN)
False	True Negative
Positive (FP)	(TN)

# Evaluation (measures) of a classifier

 Accuracy = fraction of correct classifications on unseen data (test set, cross validation, bootstrap, ...)

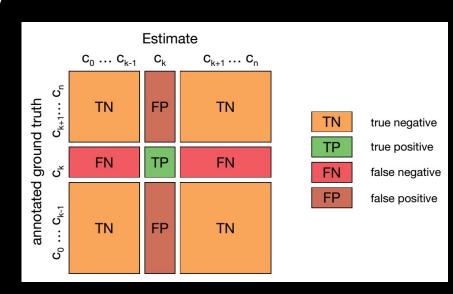
TN + TP

$$\overline{TN + FP + FN + TP}$$

Error rate = 1 – Accuracy

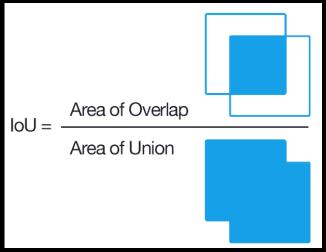
• Precision = 
$$\frac{TP}{FP + TP}$$

• Recall = 
$$\frac{TP}{FN + TP}$$



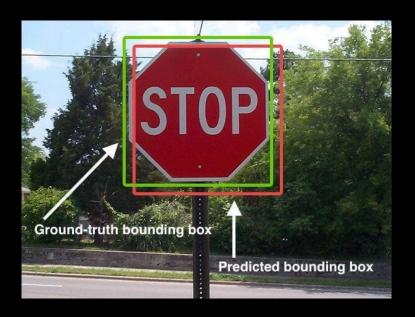
#### Typical measures in CV

 Intersection over Union (IoU) for object detection



(confusion matrix depends on the IoU threshold)

- Mean Average Precision (mAP)
- Average Precision(AP) is the area under the Precision/Recall curve



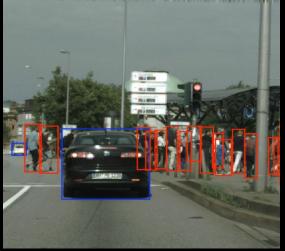
$$\mathrm{mAP} = \frac{1}{N} \sum_{i=1}^{N} \mathrm{AP}_i$$
 Mean Average Precision Formula

i = class

#### Computer Vision: Supervised Problems

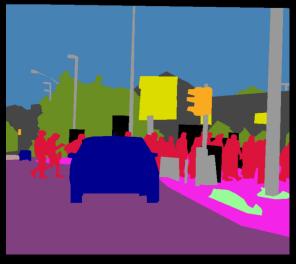
Object classification

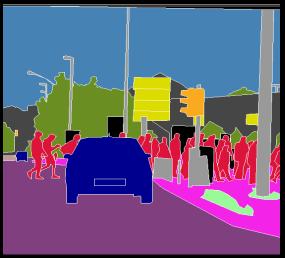




Object detection

Semantic segmentation





Instance segmentation

#### CV Tasks for Generative Algorithms



Image generation using Super Resolution GAN architecture



Image generation from multimodal deep architechtures

- Dall-E (https://openai.com/dall-e-2)
- Mid-Journey

(https://www.midjourney.com/)

. . .



## Unsupervised learning?

- E.g. Dimensionality reduction, clustering, pattern mining
- Optimization or combinatorial enumeration (when working on discrete structures)
- Also uses regularizations (or heuristics)
- Also used in CV but
  - As a preprocessing step for the previous tasks
  - As a basis for generative models
  - For anomaly detection
- No clear target y:
  - No general loss to optimize (different for each problem, exclustering)
  - No clear way to evaluate the outcome (be creative)

### Reinforcement Learning?



- Learn more here: http://ivg.au.tsinghua.edu.cn/DRLCV/
- And (David Silver course on RL) https://www.youtube.com/watch?v=2pWv7GOvuf0