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References

Epistemic reasoning in AI

François Schwarzentruber

École Normale Supérieure Rennes

IJCAI-ECAI, Tutorial T27, 14 July 2018

Talks at IJCAI-ECAI 2018

- Game Description Language and Dynamic Epistemic Logic Compared. Thorsten Engesser, Robert Mattmüller, Bernhard Nebel, Michael Thielscher
- Single-Shot Epistemic Logic Program Solving. Manuel Bichler, Michael Morak, Stefan Woltran
- Model Checking Probabilistic Epistemic Logic for Probabilistic Multiagent Systems. Chen Fu, Andrea Turrini, Xiaowei Huang, Lei Song, Yuan Feng, Lijun Zhang
- The Complexity of Limited Belief Reasoning—The Quantifier-Free Case Yijia Chen, Abdallah Saffidine, Christoph Schwering
- Small Undecidable Problems in Epistemic Planning Sébastien Lê Cong, Sophie Pinchinat, __
- Multi-agent Epistemic Planning with Common Knowledge Qiang Liu, Yongmei Liu

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Objective of this tutorial

- 1. Being able to understand these IJCAI-ECAI papers in the field
- 2. Being able to model epistemic multi-agent scenarios
- 3. Being able to contribute in the field
- 4. Promote automatic structures for proving decidability [Blumensath and Grädel 2000]
- 5. (if time) Advertise knowledge-base programs for writing policies



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Many different settings

This tutorial is not a catalogue (although this slide is one):

- ► QdecPOMDP, decPOMDP [Brafman, Shani, and Zilberstein 2013]
- ▶ Belief revision 🖹 [Alchourrón, Gärdenfors, and Makinson 1985]
- ► ATL with imperfect information 🗎 [Hoek and Wooldridge 2003]
- ► Epistemic situation calculus ☐ [Scherl and Levesque 2003]
- ► Game Description Logic III ☐ [Thielscher 2016]
- ▶ Dynamic epistemic logic 🖹 [Baltag, Moss, and Solecki 1998]
- ▶ Probabilistic Dynamic epistemic logic 🗎 [B. P. Kooi 2003]
- ▶ Interpreted systems 🖹 [Fagin et al. 1995]
- ► Explicit and implicit beliefs 🖹 [Lorini 2018]

Why we focus on Dynamic epistemic logic?

- 1. Action-oriented: it extends classical planning;
- 2. Has a nice classification of different decision problems.

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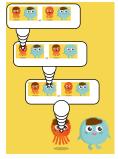
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Examples of epistemic states



http://hintikkasworld.irisa.fr/

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Epistemic states

[van Ditmarsch, van der Hoek, and B. Kooi 2008] Let $AP = \{p, p_1, \ldots\}$ be a countable set of atomic propositions. Let $AGT = \{a, b, c, \ldots\}$ be a finite set of agents.

Definition

An epistemic model $\mathcal{M} = (W, (R_a)_{a \in AGT}, V)$ is a tuple where:

- $W = \{w, u, ...\}$ is a non-empty set of possible *worlds*;
- $R_a \subseteq W \times W$ is an *accessibility relation* for agent *a*;
- $V: W \rightarrow 2^{AP}$ is a valuation function.

A pair (\mathcal{M}, w) is called a epistemic state, where w represents the actual world.

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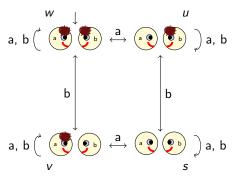
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Example

 \blacktriangleright $V(s) = \emptyset.$



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Syntax of \mathcal{L}_{EL}

Definition

The syntax of \mathcal{L}_{EL} is given by the following grammar:

 φ, ψ, \ldots ::= $p \mid \neg \varphi \mid (\varphi \lor \psi) \mid K_a \varphi$

where p ranges over AP and a ranges over AGT.

The size of φ is the number of symbols needed to write φ .

```
Notation (Dual operators)

(\varphi \land \psi) for \neg (\neg \varphi \lor \neg \psi);

\hat{K}_a \varphi for \neg K_a \neg \varphi.
```

- $K_a \varphi$ is read 'agent *a* knows/believes that φ is true;
- $\hat{K}_a \varphi$ is read 'agent *a* considers φ as possible'.

Definition

 $\mathcal{L}_{\textit{Prop}}$ is the set of propositional logic formulas.

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Semantics of \mathcal{L}_{EL}

Definition

The semantics of \mathcal{L}_{EL} is defined as follows:

$$\begin{array}{ll} \mathcal{M}, w \models p & \text{if } p \in V(w); \\ \mathcal{M}, w \models \neg \varphi & \text{if it is not the case that } \mathcal{M}, w \models \varphi; \\ \mathcal{M}, w \models (\varphi \lor \psi) & \text{if } \mathcal{M}, w \models \varphi \text{ or } \mathcal{M}, w \models \psi; \\ \mathcal{M}, w \models K_a \varphi & \text{if for all } u \text{ s.t. } w R_a u, \mathcal{M}, u \models \varphi \end{array}$$

 $\mathcal{M}, w \models K_a dirty_b$

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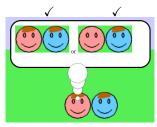
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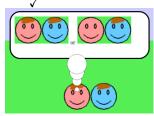
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Dual operators

$$\mathcal{M}, w \models K_a \varphi$$
 if for all u s.t. $wR_a u, \mathcal{M}, u \models \varphi$
 $\mathcal{M}, w \models \hat{K}_a \varphi$ if there exists u s.t. $wR_a u, \mathcal{M}$ and $u \models \varphi$.



 $\mathcal{M}, w \models K_a dirty_b$



 $\mathcal{M}, w \models \hat{K}_a dirty_a$

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Common knowledge

Common knowledge of φ among agents in group G

Definition

The syntax of \mathcal{L}_{ELCK} is given by the following grammar:

 $\varphi ::= p \mid \neg \varphi \mid (\varphi \lor \varphi) \mid K_a \varphi \mid C_G \varphi$

where *p* ranges over *AP*, *a* ranges over *AGT*, and *G* ranges over 2^{AGT} .

Definition

The semantics of $\mathcal{L}_{\text{ELCK}}$ extended by the following clause:

• $\mathcal{M}, w \models C_G \varphi$ if for all $u \in W, wR_G u$ implies $\mathcal{M}, u \models \varphi$ where R_G is the transitive closure of $\bigcup_{a \in G} R_a$. Modeling using Dynamic Epistemic Logic (DEL)

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Examples of actions

Baltag, Moss, and Solecki 1998]

Example (Public announcement of "p")

$$ightarrow rac{\mathsf{pre:} \ \mathsf{p}}{\mathsf{post:} -} \sum_{i=1}^{i} \mathsf{a_i} \ \mathsf{b}$$

Example (Private announcement "p" to a)

$$\rightarrow \begin{array}{c} \text{pre: } p \\ \text{post: } - \end{array} \begin{array}{c} b \\ \text{post: } - \end{array} \begin{array}{c} \text{pre: true} \\ \text{post: } - \end{array} \begin{array}{c} a, b \end{array}$$

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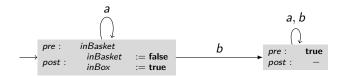
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Examples of actions



Example (Transfer marble from basket to box)



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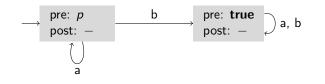
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Definition

An event model $\mathcal{E} = (\mathsf{E}, (R_a^{\mathcal{E}})_{a \in AGT}, pre, post)$ is a tuple where:

- $E = \{e, e', ...\}$ is a non-empty finite set of possible events,
- $R_a^{\mathcal{E}} \subseteq E \times E$ is an accessibility relation on E for agent *a*,
- pre : $E \rightarrow \mathcal{L}_{EL}$ is a precondition function,
- ▶ *post* : $E \times AP \rightarrow \mathcal{L}_{EL}$ is a postcondition function.

A pair (\mathcal{E}, e) is called an action, where *e* represents the actual event of (\mathcal{E}, e) . A pair (\mathcal{E}, E_0) , for $E_0 \subseteq E$, is a non-deterministic action. The set E_0 is the set of triggerable events. Modeling using Dynamic Epistemic Logic (DEL) Epistemic states

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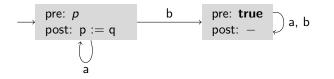
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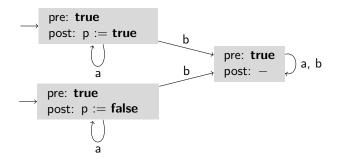
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Deterministic and non-deterministic actions Deterministic action = single-pointed event model (\mathcal{E}, e)



Non-deterministic action = multi-pointed event model



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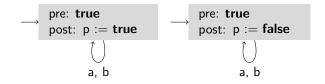
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Public actions

Definition

An action is said to be *public* if the accessibility relations in underlying event model are self-loops.



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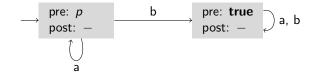
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Non-ontic actions

Definition

An action is said to be *non-ontic* if the postconditions are trivial: for all $e \in E$, for all propositions $p \in AP$, post(e, p) = p.



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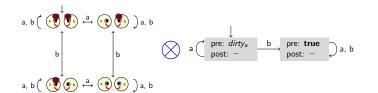
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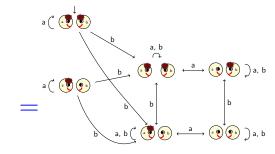
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Example of an update product





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Update product: formal definition

Let $\mathcal{M} = (W, \{R_a\}_{a \in AGT}, V)$ be an epistemic model and $\mathcal{E} = (\mathsf{E}, (R_a^{\mathcal{E}})_{a \in AGT}, pre, post)$ be an event model.

Definition

The update product of \mathcal{M} and \mathcal{E} is the epistemic model $\mathcal{M} \otimes \mathcal{E} = (W^{\otimes}, \{R_a^{\otimes}\}_{a \in AGT}, V^{\otimes})$ where:

$$W^{\otimes} = \{(w, e) \in W \times \mathsf{E} \mid \mathcal{M}, w \models pre(e)\},\$$

$${\it R}^\otimes_{\sf a}(w,e)=\{(w',e')\in {\it W}^\otimes\mid w{\it R}_{\sf a}w' ext{ and } e{\it R}^{{\it \mathcal E}}_{\sf a}e'\},$$

$$V^{\otimes}(w, e) = \{p \in AP \mid \mathcal{M}, w \models post(e)(p)\}$$

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Pointed update products

Definition

The successor state of an epistemic state (\mathcal{M}, w) by action (\mathcal{E}, e) is

$$(\mathcal{M},w)\otimes(\mathcal{E},e)=^{\mathsf{def}}(\mathcal{M}\otimes\mathcal{E},(w,e))$$

if $\mathcal{M}, w \models pre(e)$, otherwise it is undefined.

Notation

- ► We write e instead of (E, e);
- ▶ We write the word 'we' instead of the pair (w, e);
- We write $\mathcal{M} \otimes \mathcal{E}^n$ for $\mathcal{M} \otimes \mathcal{E} \otimes \ldots \mathcal{E}$, n times.
- We write $we_1 \dots e_n \models \varphi$ instead of $\mathcal{M} \otimes \mathcal{E}^n$, $we_1 \dots e_n \models \varphi$.

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Dynamic language

Definition

The language $\mathcal{L}_{\text{DELCK}}$ extends $\mathcal{L}_{\text{ELCK}}$ with dynamic modalities and is defined by the following BNF:

$$\varphi \quad ::= \ \top \ \mid \ p \ \mid \ \neg \varphi \ \mid \ (\varphi \lor \varphi) \ \mid \ K_a \varphi \ \mid \ C_G \varphi \ \mid \ \langle \mathcal{E}, \mathsf{E}_0 \rangle \varphi$$

where $\mathcal{E}, \mathsf{E}_0$ ranges over the set of non-deterministic actions.

Definition

We extend the definition $\mathcal{M}, w \models \varphi$ to $\mathcal{L}_{\mathsf{DELCK}}$ with the following clause:

$$\begin{array}{l} \blacktriangleright \ \mathcal{M}, w \models \langle \mathcal{E}, \mathsf{E}_0 \rangle \varphi \text{ if there exists } e \in \mathsf{E}_0 \text{ s.th.} \\ \mathcal{M}, w \models \textit{pre}(e) \text{ and } \mathcal{M} \otimes \mathcal{E}, (w, e) \models \varphi. \end{array}$$

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Dual operator

We define $[\mathcal{E}, \mathsf{E}_0]$ to be $\neg \langle \mathcal{E}, \mathsf{E}_0 \rangle \neg$.

The semantics is:

•
$$\mathcal{M}, w \models [\mathcal{E}, \mathsf{E}_0] \varphi$$
 if for all $e \in \mathsf{E}_0$ we have
 $\mathcal{M}, w \models pre(e)$ implies $\mathcal{M} \otimes \mathcal{E}, (w, e) \models \varphi$;
• $\mathcal{M}, w \models \langle \mathcal{E}, \mathsf{E}_0 \rangle \varphi$ if there exists $e \in \mathsf{E}_0$ s.th.
 $\mathcal{M}, w \models pre(e)$ and $\mathcal{M} \otimes \mathcal{E}, (w, e) \models \varphi$.

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Possible world explosion



Example

Initially, number of possible worlds for Belote:

$$\binom{32}{8} \times \binom{24}{8} \times \binom{16}{8} \simeq 4 \times 10^{15}$$

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Solution: succinct models

Represent succinctly epistemic and event models by:

- a Boolean formula to describe the valuations that correspond to the set of all worlds/events;
- ▶ programs (or Boolean formulas R_a(x, x'), or BDDs) for representing relations.

See [Benthem et al. 2015], [Benthem et al. 2018], [Charrier and Schwarzentruber 2017], [Charrier and Schwarzentruber 2018].

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Model checking problem

Definition

The model checking problem is defined as follows.

- Input:
 - An epistemic state \mathcal{M}, w ;
 - A formula φ;
- Output: yes if $\mathcal{M}, w \models \varphi$; no otherwise.

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Motivation: bounded epistemic planning

Checking the existence of a bounded sequence of actions leading to a γ-state:

$$\mathcal{M}, \mathbf{w} \models \langle \mathcal{E}, \mathsf{E}_0 \rangle \dots \langle \mathcal{E}, \mathsf{E}_0 \rangle \gamma$$
iff

there are actions $e_1, \ldots e_n$ in E_0 such that $we_1, \ldots, e_n \models \gamma$

Checking the existence of a bounded strategy

leading to a γ -state:

 $\mathcal{M}, w \models \langle \mathcal{E}, \mathsf{E}_0 \rangle [\mathcal{E}', \mathsf{E}'_0] \dots \langle \mathcal{E}, \mathsf{E}_0 \rangle [\mathcal{E}', \mathsf{E}'_0] \gamma$

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Dynamic-free language

Theorem

If φ is dynamic-free then the model checking problem is *P*-complete.

Proof.

- P-hardness: same lower bound proof as for temporal logic CTL [Schnoebelen 2002b]
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Algorithm

```
function mc(\mathcal{M}, \varphi)
     match \varphi do
           case p :
                return {w \mid p holds in \mathcal{M}, w}
           case \neg \psi :
                return \overline{\mathrm{mc}(\mathcal{M},\psi)}
           case (\psi_1 \lor \psi_2):
                return mc(\mathcal{M}, \psi_1) \cup mc(\mathcal{M}, \psi_2)
           case K_a\psi:
                return {w \mid R_a(w) \subseteq mc(\mathcal{M}, \psi)}
check whether w \in mc(\mathcal{M}, \varphi)
```

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Algorithm also for deterministic public actions

```
function mc(\mathcal{M}, \varphi)
     match \varphi do
           case p :
                 return {w \mid p holds in \mathcal{M}, w}
           case \neg \psi:
                 return mc(\overline{\mathcal{M}, \psi})
           case (\psi_1 \lor \psi_2):
                 return mc(\mathcal{M}, \psi_1) \cup mc(\mathcal{M}, \psi_2)
           case K_a\psi:
                 return {w \mid R_a(w) \subseteq mc(\mathcal{M}, \psi)}
           case \langle \mathcal{E}, e \rangle \psi:
                 return mc(\mathcal{M}, pre(e)) \cap \{w \mid (w, e) \in mc(\mathcal{M} \otimes \mathcal{E}, \psi)\}
check whether w \in mc(\mathcal{M}, \varphi)
```

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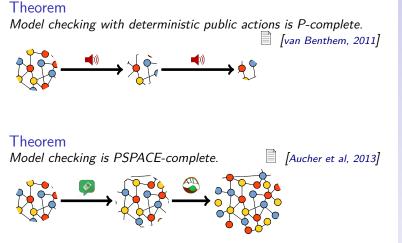
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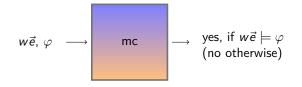
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A PSPACE procedure for model checking

Specification



such that we is defined

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A PSPACE procedure for model checking

```
function mc(w\vec{e},\varphi)
    match \varphi do
         case p :
              return inval(p, we)
         case \neg \psi:
              return not mc(w\vec{e},\varphi)
         case (\psi_1 \lor \psi_2):
              return mc(\mathcal{M}, w, \psi_1) or mc(\mathcal{M}, w, \psi_2)
         case K_a\psi:
              for u\vec{f} such that u \in R_a(w) and \vec{e} \rightarrow_a \vec{f} do
                   if in(u\vec{f}) and not mc(u\vec{f}, \psi) then return false
              return true
         case \langle \mathcal{E}, \mathsf{E}_0 \rangle \psi :
              for e \in E_0 do
                   if mc(w\vec{e}, pre(e)) and mc(w\vec{e}::e, \psi) then return true
              return false
mc(w, \varphi)
```

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Subroutines inval and in

function *inval*($p, w\vec{e}$) **case** $\vec{e} = \epsilon$: **return** (p is true in w) **case** $\vec{e} = \vec{e}'$::e and: $mc(w\vec{e}', post(e, p))$

function $in(w\vec{e})$ **case** $\vec{e} = \epsilon$: **return** true **case** $\vec{e} = \vec{e}'$::e: **return** $mc(w\vec{e}', pre(e))$ and $in(w\vec{e}')$ Aodeling using Dynamic Epistemic .ogic (DEL)

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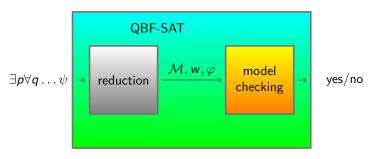
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PSPACE-hardness

Theorem Model checking is PSPACE-hard.

Proof.



$$\varphi := \langle p := \textit{false} \cup p := \textit{true} \rangle [q := \textit{false} \cup q := \textit{true}] \dots \psi$$

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PSPACE-hardness

Theorem

Model checking is PSPACE-hard already for:

- Non-deterministic public actions (previous slide);
- Deterministic epistemic actions Pol, Rooij, and Szymanik 2015, Bolander, Jensen, and Schwarzentruber 2015a.

Further reading: parameterized complexity for DEL model checking: Pol, Rooij, and Szymanik 2015

	Explicit models	Succinct models
Deterministic public actions	P-c	PSPACE-c
All	PSPACE-c	PSPACE-c

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Satisfiability problem definition

Definition

The satisfiability problem in DEL is the following decision problem.

- lnput: a formula φ ;
- Output: yes if there is an epistemic state *M*, *w* such that *M*, *w* ⊨ *φ*; no otherwise.

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Motivation: parameterized bounded epistemic planning

► there exists a bounded sequence of actions leading to a γ -state from any ψ -epistemic state iff $\psi \rightarrow \langle \mathcal{E}, \mathsf{E}_0 \rangle \dots \langle \mathcal{E}, \mathsf{E}_0 \rangle \gamma$ is satisfiable

► There is a bounded strategy leading to a γ-state from any ψ-epistemic state: iff ψ → ⟨ε, E₀⟩[ε', E'₀]...⟨ε, E₀⟩[ε', E'₀]γ is satisfiable Modeling using Dynamic Epistemi Logic (DEL)

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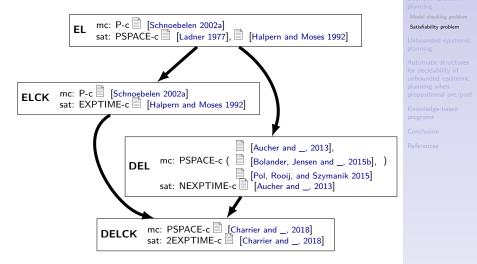
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Complexity results



All complexities remain the same for succinct event models in the language, except P-c becomes PSPACE-c (see \square [Charrier and _, 2018]).

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Epistemic planning instance

Definition

An epistemic planning instance is a tuple $\mathcal{M}, w, \mathcal{E}, E_0, \gamma$ where:

- \mathcal{M}, w is a pointed epistemic model;
- *E* is an event model;
- E_0 is a subset of events in \mathcal{E} ;
- \blacktriangleright γ an epistemic formula.

(repertoire of events)

(initial situation)

(goal)

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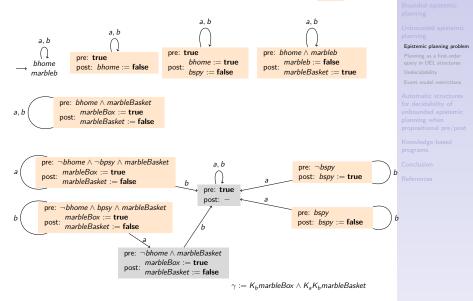
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Example of planning instance $(\mathcal{M}, w, \mathcal{E}, \mathsf{E}_0, \gamma)$:



Epistemic planning problem

Definition

The epistemic planning problem is defined as follows:

- ▶ Input: an epistemic planning instance $(\mathcal{M}, w, \mathcal{E}, \mathsf{E}_0, \gamma)$;
- Output: yes if there exists a sequence e₁,..., e_ℓ ∈ E₀ such that we₁...e_ℓ ⊨ γ; no otherwise.

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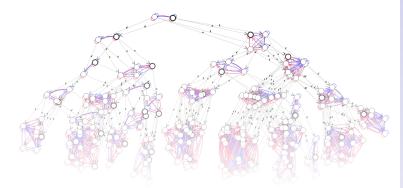
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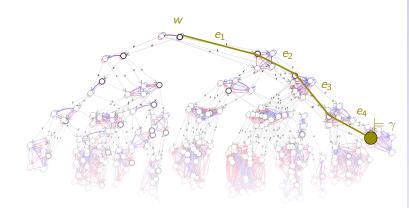
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DEL presentation: formal definition

Definition

A DEL presentation is a pair $(\mathcal{M}, \mathcal{E})$ where \mathcal{M} is an epistemic model and \mathcal{E} is an event model.

Let $\mathcal{M} = (W, (R_a)_{a \in AGT}, V)$ be an epistemic model and $\mathcal{E} = (E, (R_a^{\mathcal{E}})_{a \in AGT}, pre, post)$ be an event model.

Notation

- \mathcal{H}_n is the set of worlds of $\mathcal{M} \otimes \mathcal{E}^n$.
- Worlds of $\mathcal{M} \otimes \mathcal{E}^n$ are written $h = we_1 \dots e_n$.

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DEL structure: formal definition

Let $(\mathcal{M}, \mathcal{E})$ be a DEL presentation. A DEL structure is the unraveling of some DEL presentation $(\mathcal{M}, \mathcal{E})$.

Definition

The DEL structure denoted by $(\mathcal{M}, \mathcal{E})$ is the structure

$$\mathcal{ME}^* = (\mathcal{H}, \rightarrow, (R_a)_{a \in AGT}, (p)_{p \in AP}),$$

where

- $\blacktriangleright \mathcal{H} = \bigcup_{n \in \mathbb{N}} \mathcal{H}_n;$
- $h \rightarrow h'$ whenever h' = he for some event e;
- ▶ hR_ah' whenever hR_ah' in $\mathcal{M} \otimes \mathcal{E}^n$, for some *n*;
- p(h) holds if p holds in h in $\mathcal{M} \otimes \mathcal{E}^n$.

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(histories)

Epistemic logic embedded in First-order logic

Theorem

Given an epistemic formula γ , one can effectively compute a first-order formula $tr(\gamma)(x)$ such that

$$\mathcal{ME}^*, h \models \gamma \text{ iff } \mathcal{ME}^*, [x := h] \models tr(\gamma)(x).$$

Example

 $\begin{array}{c|c} \gamma & tr(\gamma)(x) \\ \hline K_a p & \forall y R_a(x,y) \to p(y) \\ q \land \hat{K}_a q & q(x) \land \exists y R_a(x,y) \land q(y) \end{array}$

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Planning as a first-order query

Proposition

A planning instance $\mathcal{M}, w, \mathcal{E}, \mathsf{E}_0, \gamma$ is positive

iff <u>there exists</u> a history we₁... e_{ℓ} of \mathcal{ME}^* such that:

▶
$$e_1, \ldots, e_\ell \in \mathsf{E}_0$$
;

• we₁...
$$e_{\ell} \models \gamma$$
;

iff
$$\mathcal{ME}^*\models \exists x(\textit{historyE0}(x) \land \textit{tr}(\gamma)(x))$$

PS: handling historyEO(x) is small technical detail...

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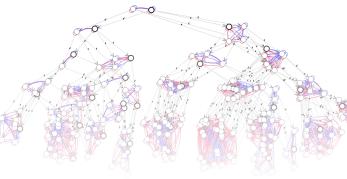
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Undecidability of epistemic planning

Theorem

Epistemic planning problem is undecidable.

Proof. DEL structures are Turing-complete! ([Bolander and Andersen 2011], [[Cong, Pinchinat, and Schwarzentruber 2018])



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$$\begin{array}{c|c} pre \\ post \\ \hline non-ontic \\ ontic \\ \hline dec \\ \hline undec \\ \hline undec \\ \hline \end{array}$$

What we just seen
 Similar proof (see [Aucher and Bolander 2013], [Charrier, Maubert, and Schwarzentruber 2016])
 Open problem
 Next section!

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PDEL Planning

Call PDEL presentation a DEL presentation where every precondition is propositional, and call PDEL structure a DEL structure arising from a PDEL presentation.

Definition (PDEL planning)

- Input: an epistemic planning instance (M, w, E, E₀, φ) where (M, E) is a PDEL presentation;
- Output: yes if <u>there exists</u> a history $we_1 \dots e_\ell$ in \mathcal{ME}^* such that $we_1 \dots e_\ell \models \varphi$ and $e_1, \dots, e_\ell \in E_0$.

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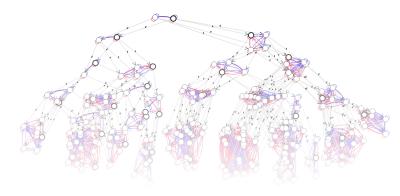
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Is PDEL planning decidable?

Issue: the DEL structure is infinite...



Two possible attitudes towards infinite objects

- Try to prove Turing-completeness hence undecidability;
- Try to prove regularity of the structure hence decidability.

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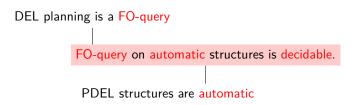
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PDEL planning is decidable.

Theorem PDEL planning is decidable ([Yu, Wen, and Liu 2013], [[Aucher, Maubert, and Pinchinat 2014]).

Proof.



It is even decidable for epistemic linear μ -calculus! Douéneau-Tabot, Pinchinat, and Schwarzentruber 2018] Modeling using Dynamic Epistemic Logic (DEL)

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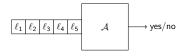
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Finite automata

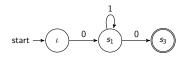
Let Σ be an alphabet. Σ^* is the set of all finite words over Σ .



Definition

A word automaton \mathcal{A} is a tuple $\mathcal{A} = (S, \iota, \Delta, F)$ where

- S is a finite set of states, $\iota \in S$ is the initial state;
- $\Delta \subseteq S \times \Sigma \times S$ is the transition relation;
- $F \subseteq S$ is the set of accepting states.



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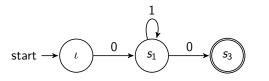
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Regular languages



- An execution of \mathcal{A} on $\alpha = \ell_1 \dots \ell_n \in \Sigma^* \dots$
- ► A word is accepted by A if there exists an accepting execution of A on it.
- The language accepted by A is the set L(A) ⊆ Σ* of all words accepted by A.

Definition

A language $L \subseteq \Sigma^*$ is regular if there exists a finite automaton \mathcal{A} such that $L = L(\mathcal{A})$.

The language accepted by the automaton drawn above is the set of words of the form 01...10, and is often written 01^*0 .

Theorem

The emptiness problem for word automata is decidable in NLOGSPACE.

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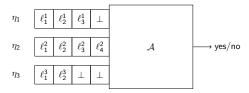
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Regular relations

Let $\Sigma_{\perp} = \Sigma \cup \{\perp\}$, where \perp is a fresh symbol.



Definition

The convolution of $\eta_1, \ldots, \eta_n \in \Sigma^*$, written $\odot(\eta_1, \ldots, \eta_n)$, is the word over alphabet $(\Sigma_{\perp})^n$ obtained by left-aligning η_1, \ldots, η_n while completing with \perp .

Definition

The convolution of a relation $R \subseteq (\Sigma^*)^n$ is the language

$$\odot R = \{ \odot(\eta_1, \ldots, \eta_n) | (\eta_1, \ldots, \eta_n) \in R \} \subseteq ((\Sigma_{\perp})^n)^*$$

Definition

 $R \subseteq (\Sigma^*)^n$ is regular whenever there is a finite automaton over alphabet $(\Sigma_{\perp})^n$ that accepts $\odot R$.

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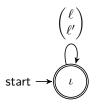
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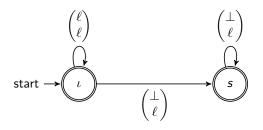
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Examples of regular relations

► The binary equal-length relation *el*, *i.e.*, pairs (η, η') with $|\eta| = |\eta'|$.



• The binary prefix relation \leq .



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Closure properties of regular relations

Theorem

Let R, R' be regular relations over Σ^* . Then the following relations are also regular:

- Union $R \cup R'$;
- Intersection $R \cap R'$;
- Relative complementation $R \setminus R'$;

Moreover there is an effective procedure that, given automata for $\odot R$ and $\odot R'$, computes an automaton for the convolution of each of the resulting relations.

Proof.

Use standard automata constructions, *e.g.*, synchronous product for intersection.

Remark

Computing the automaton for $\odot R \setminus R'$ requires to complement \mathcal{A} for $\odot R'$, that relies on the determinization of \mathcal{A} . (an exponential cost in general; it is a powerset construction).

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Conclusion

The projection of a regular relation is regular

Theorem Let $R \subseteq (\Sigma^*)^r$ be regular relation.

Then one can effectively compute an automaton \mathcal{B} s.t.

$$L(\mathcal{B}) = \odot(\{(\eta_2, \ldots, \eta_r) | \text{ there exists } \eta_1, (\eta_1, \eta_2, \ldots, \eta_r) \in R\}).$$

Proof.

Forget the first coordinate.

Example

$$\begin{pmatrix} \mathbf{x} \\ e \end{pmatrix}, \begin{pmatrix} \mathbf{x} \\ f \end{pmatrix}, \begin{pmatrix} \mathbf{x} \\ g \end{pmatrix}, \begin{pmatrix} \mathbf{x} \\ g \end{pmatrix}, \begin{pmatrix} \mathbf{x} \\ g \end{pmatrix}, \begin{pmatrix} \mathbf{x} \\ f \end{pmatrix}$$
start $\rightarrow \boldsymbol{\iota}$

Remark

The projected automaton is non-deterministic in general.

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Automatic presentations

Let $S = \langle S, (R_i)_{i \in I} \rangle$ be a structure.

Definition

An automatic presentation of S consists of a pair (\bar{A}, ν) s.t.

- $\overline{\mathcal{A}}$ is a tuple of automata $\langle \mathcal{A}_{\mathcal{S}}, (\mathcal{A}_{R_i})_{i \in I} \rangle$;
- ▶ $u : L(\mathcal{A}_S) \to S$ is a bijective mapping, and we let

$$\nu^{-1}(R_i) := \{ (\eta_1, \ldots, \eta_{r_i}) \in (\Sigma^*)^{r_i} \mid R_i(\nu(\eta_1), \ldots, \nu(\eta_{r_i})) \}.$$

s.t.
$$L(\mathcal{A}_{R_i}) = \odot \nu^{-1}(R_i).$$

Intuitively, words from $L(A_S)$ encode elements of S (via mapping ν) in such a way that the induced relations $\nu^{-1}(R_i)$ are regular. An automatic structure is a structure that has an automatic presentation.

Example

 $(\mathbb{N}, succ)$ with $succ = \{(n, n+1) \mid n \in \mathbb{N}\}$ is an automatic structure: take alphabet $\Sigma = \{\ell\}$ and $\nu : \ell^* \to \mathbb{N}$, and automaton for relation $\odot succ$ is the one for words of the form $\binom{\ell}{\ell} \dots \binom{\ell}{\ell} \binom{\perp}{\ell}$.

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Other examples of automatic structures

- Every finite structure is automatic.
- Given a DEL presentation where pre/post are propositional, the associated DEL structure is automatic. (next section)

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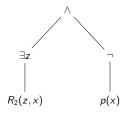
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First-order logic on automatic structures

Theorem

For every automatic presentation (\overline{A}, ν) of structure, every first-order formula $\Phi(x_1, \ldots, x_n)$ induces a relation R of arity n with $\nu^{-1}(R)$ regular. Moreover, the automaton for $\odot \nu^{-1}(R)$ can be effectively computed.

Take $\exists z R_2(z, x) \land \neg p(x)$.



Bottom-up construction:

- 1. Project $A_{R_2(z,x)}$ on first component and get $A_{\exists z R_2(x,z)}$;
- 2. Complement $\mathcal{A}_{p(x)}$, get $\mathcal{A}_{p(x)}^{c}$, compute $\mathcal{A}_{S} \cap \mathcal{A}_{p(x)}^{c}$ and get $\mathcal{A}_{\neg p(x)}$;
- 3. Compute $\mathcal{A}_{\exists z R_2(x,z)} \cap \mathcal{A}_{\neg p(x)}$ to get $\mathcal{A}_{\exists z R_2(z,x) \land \neg p(x)}$.

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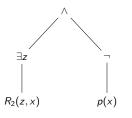
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For every automatic presentation (\overline{A}, ν) of structure, every first-order formula $\Phi(x_1, \ldots, x_n)$ induces a relation R of arity n with $\nu^{-1}(R)$ regular. Moreover, the automaton for $\odot \nu^{-1}(R)$ can be effectively computed.

Take $\exists z R_2(z, x) \land \neg p(x)$.



Bottom-up construction:

- 1. Project $A_{R_2(z,x)}$ on first component and get $A_{\exists z R_2(x,z)}$;
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Corollary

The first-order theory of each automatically presentable structure is decidable.

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PDEL structures are automatic

Theorem Given a PDEL presentation $(\mathcal{M}, \mathcal{E})$, the structure $\mathcal{M}\mathcal{E}^* = (\mathcal{H}, \rightarrow, (R_a)_{a \in AGT}, (p)_{p \in AP})$ is automatic.



Proof: We exhibit an automatic presentation (\bar{A}, ν) .

First, $\nu := id$, that is, every history $we_1 \dots e_n \in \mathcal{H}$ is encoded as the word $we_1 \dots e_n \in (W \cup E)^*$.

Now we define $\overline{\mathcal{A}} = \langle \mathcal{A}_{\mathcal{H}}, \mathcal{A}_{\rightarrow}, (\mathcal{A}_{R_a})_{a \in AGT}, (\mathcal{A}_p)_{p \in AP} \rangle$.

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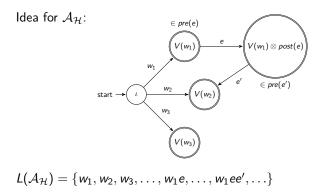
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Some ideas for $\mathcal{A}_{\mathcal{H}}$

Notation

- ► Given an event e, view pre(e) as a subset of valuations. e.g., view p ∨ q as {{p}, {q}, {p, q}}.
- ► For all valuations P, let P ⊗ post(e) be the valuation P updated by post(e)

e.g.,
$$\{p,q\} \otimes [p := \bot, r := \top] = \{q,r\}.$$



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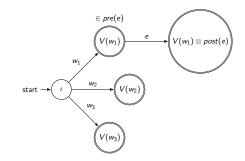
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Definition of $\mathcal{A}_{\mathcal{H}}$, and of \mathcal{A}_{ρ} $(\rho \in AP)$



Let $\mathcal{A}_{\mathcal{H}} = (S, \iota, \Delta, S \setminus {\iota})$ where

- $\blacktriangleright S = \{\iota\} \cup 2^{AP};$
- $(\iota, w, V(w)) \in \Delta$, for every $w \in W$;
- $(P, e, P \otimes post(e)) \in \delta$ whenever $P \in pre(e)$.

Incidentally, we take $\mathcal{A}_{p} = (S, \iota, \Delta, \{P \mid p \in P\}).$

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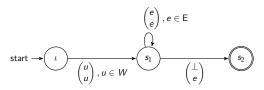
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Definition of $\mathcal{A}_{\rightarrow}$

We want an automaton for

$$\odot(\rightarrow) = \left\{ \begin{pmatrix} u \\ u \end{pmatrix} \dots \begin{pmatrix} e_n \\ e_n \end{pmatrix} \begin{pmatrix} \bot \\ e_{n+1} \end{pmatrix} \mid ue_1 \dots e_n e_{n+1} \in \mathcal{H} \right\}$$

► First, consider *A*:



Second, we make sure that accepted pairs are histories. Build automaton B for the binary relation H × H and define:

$$\mathcal{A}_{\rightarrow} = \mathcal{A} \cap \mathcal{B}$$

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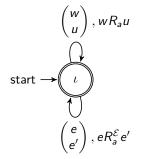
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Definition of \mathcal{A}_{R_a}

$$\mathcal{A}_{R_2} = \mathcal{A} \cap \mathcal{B}$$

• where \mathcal{A} is:



▶ and automaton \mathcal{B} is as previous slide before for $\mathcal{H} \times \mathcal{H}$.

This ends the proof of Theorem on Slide 85.

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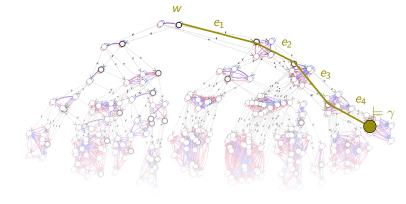
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Epistemic planning: a view on the DEL structure

- Input: an epistemic planning instance (M, w, E, E₀, φ) where (M, E) is a PDEL presentation;
- ▶ Output: yes if <u>there exists</u> a history $we_1 \dots e_\ell$ in \mathcal{ME}^* such that $we_1 \dots e_\ell \models \varphi$ and $e_1, \dots, e_\ell \in E_0$.



Amounts to query $\mathcal{ME}^* \models \exists x (\texttt{historyE0}(x) \land tr(\gamma)(x))$

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Decidability of propositional epistemic planning

- Input: an epistemic planning instance (M, w, E, E₀, φ) where (M, E) is a PDEL presentation;
- Output: yes if <u>there exists</u> a history we₁...e_ℓ in ME* such that we₁...e_ℓ ⊨ φ and e₁,..., e_ℓ ∈ E₀.
 Ex: γ = K_a K_bp.

Amounts to query $\mathcal{ME}^* \models \exists x (historyEO(x) \land tr(\gamma)(x))$. Sketch of an algorithm:

- 1. (For predicate historyE0) Take $\mathcal{A}_{historyE0}$ that accepts all words $we_1 \dots e_n$ with $e_1, \dots, e_n \in E_0$;
- 2. Compute $\mathcal{A}_{tr(\gamma)}$; Ex: $tr(\gamma)(x) = \forall y [R_a(x, y) \rightarrow \exists z (R_b(y, z) \land p(z))]$. $L(\mathcal{A}_{tr(\gamma)}) = \{h \mid \mathcal{ME}^*, [x := h] \models tr(\gamma)(x)\}.$
- 3. Compute \mathcal{A} s.t. $L(\mathcal{A}) = L(\mathcal{A}_{historyE0}) \cap L(\mathcal{A}_{tr(\gamma)})$
- 4. Return "yes" if $L(\mathcal{A}) \neq \emptyset$, "no" otherwise.

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Propositional epistemic plan synthesis

Since $\nu : L(\mathcal{A}_{\mathcal{H}}) \to \mathcal{H}$ is the identity mapping, *i.e.*, $\nu^{-1}(h) = h$, we can synthesize the set of successful plans for γ .

Theorem

Let \mathcal{A} be the automaton for $historyEO(x) \wedge tr(\gamma)(x)$. Then $L(\mathcal{A})$ contains exactely all words/histories we₁...e_l s.t.

•
$$e_1,\ldots,e_\ell\in E_0;$$

$$\blacktriangleright \mathcal{ME}^*, we_1 \dots e_{\ell} \models \gamma.$$

Corollary

Let $(\mathcal{M}, w, \mathcal{E}, \mathsf{E}_0, \varphi)$ be an instance of PDEL planning problem. We can effectively construct an automaton accepting the set of successful plans, i.e., sequences $e_1 \dots e_\ell \in \mathsf{E}^*_0$ such that

$$\mathcal{ME}^*, we_1 \dots e_\ell \models \gamma$$

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Complexity of PDEL planning

That is of the query $\mathcal{ME}^* \models \exists x (\texttt{historyE0}(x) \land tr(\gamma)(x)).$

► The complexity is at most *d*-EXPTIME where *d* is the alternation depth of $\exists x (\texttt{historyEO}(x) \land tr(\gamma)(x))$.

E.g. take $\exists x \forall y \exists z R(x, y, z)$, which is $\exists x \neg \exists y \neg \exists z R(x, y, z)$

To build the automaton for $\neg \psi$, one needs to complement \mathcal{A}_{ψ} . Since \mathcal{A}_{ψ} may result from projection operations, it may involve a determinization, hence an exponential blow up.

The lower bound complexity of the PDEL planning is unknown.

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Nuclear decommissioning



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more robust/efficient than

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more **robust**/efficient than



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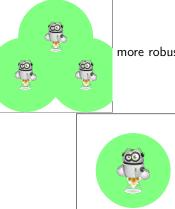
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Settings

- Cooperative agents;
- Common goal;
- Imperfect information;

more robust/efficient than

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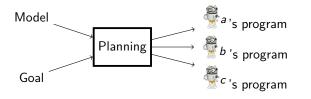
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Need: understandable system

Motivation

- Legal issues in case of failure
- Interaction with humans

```
#include "fixed.h"
    #include "fixed private.h"
    int16 T error;
    int16 T torque_request;
    D Work DWork;
7 void fixed step(void)
8 (
   int16 T FilterCoefficient m;
      FilterCoefficient m = (intl6 T) ((int32 T) (((int16 T) (5403L * (int32 T)error >>
      13U) - DWork.Filter DSTATE) << 4U) * 17893L >> 14);
      torque request = (((int16 T) (12475L * (int32 T)error >> 140) >> 1) +
                         (DWork.Integrator DSTATE >> 2)) + (FilterCoefficient m >> 1);
                                                                                           \otimes
      DWork.Integrator DSTATE = (int16 T) ((4643L * (int32 T)error >> 13U) * 5243L >>
        19U) + DWork.Integrator DSTATE;
      DWork.Filter DSTATE = (int16 T) (5243L * (int32 T) FilterCoefficient m >> 16U) +
         DWork.Filter DSTATE;
18
20
    void fixed_initialize(void)
      torque_request = 0;
      (void) memset((void *)&DWork, 0,
                    sizeof(D_Work));
      error = 0;
26
```

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Advertising: use of knowledge-based programs

Fagin et al. 1995]

KBP for agent a

listenRadio

if K_astrike

toStation

else

toAirport

 $\begin{array}{c|c} \mathsf{KBP} \text{ for agent } b \\ \\ \mathsf{readNewsPaper} \\ \mathbf{if} \ \mathcal{K}_b strike \\ | & \mathsf{toStation} \\ \mathbf{else} \\ | & \mathsf{toAirport} \end{array}$

- Understand coordination of agents in QdecPOMDP;
- Succinctness;
- (-) (Un)decidability/complexity issues.

Recent work Saffidine, Schwarzentruber, and Zanuttini 2018] that extends the mono-agent case in Lang and Zanuttini 2012], Lang and Zanuttini 2013].

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Properties expressed in epistemic logic

Language constructions room 43 is safe door 12 is locked justobserved(\bigstar) ... $\neg ...$ (... \lor ...) (... \land ...) (... \rightarrow ...) $(... \rightarrow ...)$ (K_a....) Example $(K_a$ door 12 is locked) $\land \neg (K_c$ door 12 is locked) (K_a door 12 is locked)

 $(K_a \text{ door } 12 \text{ is locked }) \land \neg(K_c \text{ door } 12 \text{ is locked })$ $K_a(K_c \text{ door } 12 \text{ is locked }) \lor K_a \neg(K_c \text{ door } 12 \text{ is locked })$ Modeling using Dynamic Epistemic .ogic (DEL)

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Program constructions

Language constructions

turn left stay broadcast temperature ...; ... if φ then ...else ...

while φ do ...

Example (knowledge-based program for agent a)

if $K_a(\text{ door 12 is locked } \land justobserved(\textcircled{6}))$ then

turn left

broadcast temperature

else

stay

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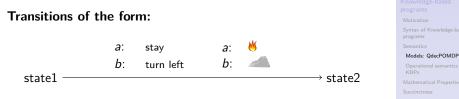
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QdecPOMDP

Qualitative decentralized Partially Observable Markov Decision Processes = Concurrent game structures with observations.



A non-empty set of possible initial states;

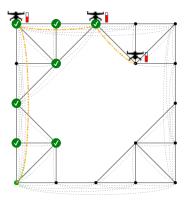
A set of goal states.

Models: OdecPOMDP

States

Typically, a state describes:

- positions of agents;
- battery levels;
- etc.



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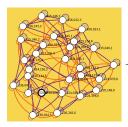
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Operational semantics

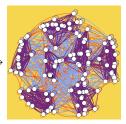


one step of computation of KBPs in the QdecPOMDP



Higher-order knowledge about:

- the current state of the QdecPOMDP;
- the current program counters in KBPs.



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Assumptions

Common knowledge of:

- the QdecPOMDP;
- the KBPs;
- synchronicity of the system;
 - tests last 0 unit of time;
 - actions last 1 unit of time.

KBP for agent a

listenRadio

if K_astrike

toStation

else

toAirport

KBP for agent breadNewsPaper **if** $K_b strike$ | toStation **else** | toAirport Modeling using Dynamic Epistemic Logic (DEL)

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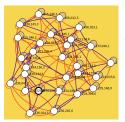
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Epistemic structures at time T: worlds



 $Worlds = \underset{(wait \; few \; slides)}{consistent} \; histories \; of \; the \; form$

$$s^{0}\overrightarrow{pc}^{0}\overrightarrow{obs}^{1}s^{1}\overrightarrow{pc}^{1}$$
 ... $\overrightarrow{obs}^{T}s^{T}\overrightarrow{pc}^{T}$

where



\overrightarrow{obs}^t	vector of observations at time t
s ^t	state at time <i>t</i>
$\overrightarrow{pc^t}$	vector of program counters at time t

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Epistemic structures at time *t*: indistinguishability relations

Agent *a* confuses two histories

iff

she has received the same observations.

for all
$$t \in \{1, \dots, T\}$$
,
 $\overrightarrow{obs}_a^t = \overrightarrow{obs}_a^{\prime t}$

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Program counters

Definition (Program counter)

(guard, action just executed, continuation)

$$(\top, \text{ start }, \bullet)$$

 $(\top, \text{ listenRadio }, \blacksquare)$

 $(K_a strike, to Station, \blacktriangle)$

 $\left(\neg K_{a} strike, \text{ toAirport }, \blacktriangle\right)$

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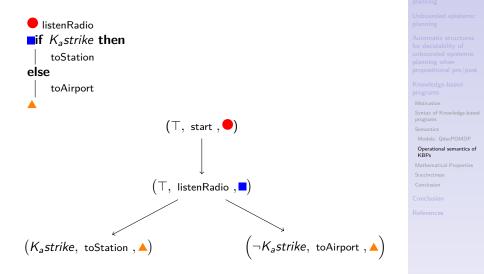
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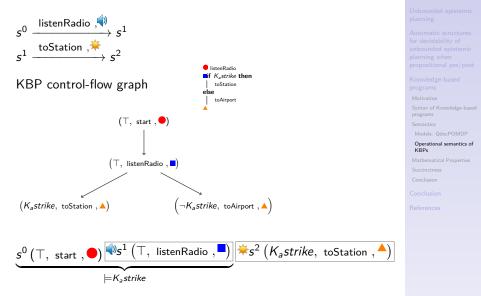
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Control-flow graph



Consistent histories (explained with one agent) In the QdecPOMDP:



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Verification problem

Definition

Input:

- A QdecPOMDP model (given in STRIPS-like symbolic form);
- Knowledge-based programs for each agent;

Output: yes if all executions of the KBPs lead to a goal state.

Theorem

The verification problem for while-free KBPs is PSPACE-complete, and is undecidable for general KBPs.

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Execution Problem

Input:

- ▶ an agent a;
- a QdecPOMDP model;
- ▶ policies (e.g. KBPs), one for each agent;
- ▶ a local view of the history for agent *a*.

Output: the action *act* agent *a* should take.

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Execution Problem (decision problem)

Input:

- ▶ an agent *a*;
- a QdecPOMDP model;
- ▶ policies (e.g. KBPs), one for each agent;
- a local view of the history for agent a;
- an action act.

Output: yes, if the next action of agent *a* is *act*; no otherwise.

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Reactive policy representation

Definition (reactive policy representation)

A class of policy representations is *reactive* iff its corresponding execution problem is in P.

Example (Tree policies are reactive policy representation) if justobserved(^(H)) then turn left else stay

Unless P = PSPACE, KBPs are not reactive. Indeed: Proposition The execution problem for KBPs is PSPACE-complete. Aodeling using Dynamic Epistemic .ogic (DEL)

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Modal depth

Modal depth = number of nested ' $K_{...}$ ' operators.

Formulas	Modal depths
justobserved (🛎)	0
K _a p	1
$K_a(K_b p)$	2

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Theorem ([Lang, Zanuttini, 2012] for d = 1; [AAAI2018], for d > 1)

Let $d \geq 1$.

There is a poly(n)-size QdecPOMDP family $(\mathcal{M}_{n,d})_{n\in\mathbb{N}}$ for which:

- 1. there is a d-modal depth poly(n)-size valid KBP family;
- 2. no (d-1)-modal depth valid KBP family;
- 3. assuming NP ⊈ P/poly, for any reactive policy representations, no poly(n)-size valid policy family.

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PROOF IDEA. $\mathcal{M}_{n,d}$:

- run a poly(n)-time protocol revealing a poly(n)-size 3-CNF β;
- β satisfiable iff a *d*-md non *d* − 1-md expressible epistemic property holds.

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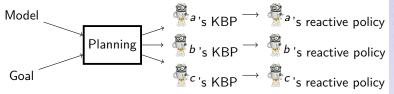
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Higher-order knowledge...

- for get explainable policies (e.g. making cooperation visible)
- for concise programs

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Perspectives

- Design efficient implementation for PSPACE problems;
- Extend algorithms with probabilities;
- Learn policies that are knowledge-based policies;
- ► Limited beliefs: more efficient and natural behaviors.

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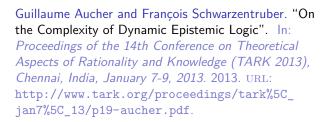
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