### Malware Detection in PDF Files and Evasion Attacks

Bonan Cuan<sup>1</sup> Aliénor Damien<sup>2,3</sup> Claire Delaplace<sup>4,5</sup> Mathieu Valois<sup>6</sup>

Under supervision of

Olivier Bettan<sup>2</sup> Boussad Addad<sup>2</sup> Marius Lombard-Platet<sup>2</sup>

<sup>1</sup>LIRIS, <sup>2</sup>Thales Group, <sup>3</sup>LAAS, <sup>4</sup>IRISA, <sup>5</sup>CRIStAL, <sup>6</sup>GREYC

#### REDOCS 2017



### Context

- A PDF file can contain
  - JavaScript Code
  - Flash objects
  - Binary Programs
  - ▶ ...
- All PDF readers have weaknesses
- Many attack vectors used by malwares

### Context

- A PDF file can contain
  - JavaScript Code
  - Flash objects
  - Binary Programs
  - ▶ ...
- All PDF readers have weaknesses
- Many attack vectors used by malwares

#### Our Work

- Use machine learning to detect infected PDF
- Modify infected PDF to lure the classifier
- Find efficient counter-measures to this attack







#### 1 Malware Detection using Machine Learning

2 Evasion Attacks

#### 3 Counter-Measures



### PDF Structure

#### In a Nutshell

- PDF: set of objects identified by tags (features)
- Several tools for PDF analysis (e.g. PDFiD)
- 21 features are frequently used by malwares

based on Didier Stevens security expert's work: https://blog.didierstevens.com/programs/pdf-tools/

# Supervised Learning

### Definition

• Inferring a function from labeled training data

#### In our case

Dataset:

- 10 000 clean PDF
- 10 000 PDF with Malware (Contagio)

```
Feature vector = [Tag1 occ., Tag2 occ., ...]
```

For a given PDF

Function: class(X) = y

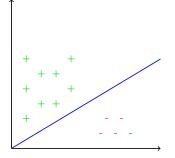
- $X \in \mathbb{Z}^n$ : feature vector
- y: label
  - 1 if the PDF is clean
  - -1 if the PDF contains a malware

### Example

```
PDFiD 0.2.1 CLEAN_PDF_9000_files/rr-07-58.pdf
 PDF Header: %PDF-1.4
 obj
                       23
 endobj
                       23
 stream
                        6
 endstream
                        6
                        2
 xref
                        2
 trailer
                                                 {'name': 'CLEAN_PDF_9000_files/rr-07-58.pdf',
                        2
 startxref
                                                  'label': 1.
 /Page
                        4
                                                  'features': array([23, 23, 6, 6, 2, 2, 2, 4, 0, 0,
 /Encrypt
                        0
                                                         0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0]
 /ObjStm
 /JS
                        0
 /JavaScript
 /AA
                        0
 /OpenAction
                        0
                                                                f(23, 23, \ldots, 0) = 1
 /AcroForm
 /JBIG2Decode
                        0
 /RichMedia
                        0
 /Launch
 /EmbeddedFile
                        0
 /XFA
 /Colors > 2^24
```

SVM (Support Vector Machine)

- One scatterplots per label
- Find a hyperplan to delimit them



### Training our SVM

- 60% of our data set used for training
- 40% used for testing

#### Description

- Get the feature vectors and labels for the training dataset
- Normalize independently each feature
- Create the SVM (use scikit-learn python module)
- Test with the remaining PDF

#### First Results

- Accuracy: 99.62 %
- Malwares detected as clean: 0,34% (28/8087)
- Clean detected as malware: 0,03% (3/8087)

## Model Improvements

#### Change the Training and Testing Sets

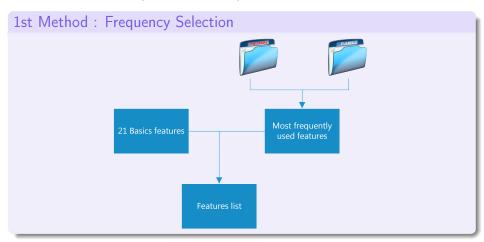
- Modify the splitting ratio
  - 80%/20% 
    ightarrow better accuracy
- Use X-validation

#### Change the Chosen Features

• Select discriminating feature with respect to our dataset

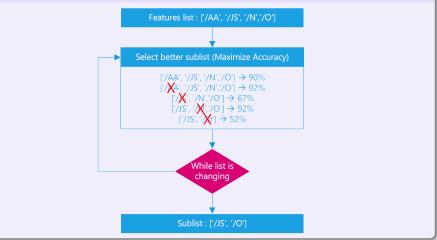
# Features Selection (Frequency)

Use every features  $\Rightarrow$  Too many features (computing break)



# Features Selection (Sublist)

#### 2nd Method : Select Best Sublist



### Results

#### Features selection comparison

Features selection	Accuracy (x-validation)	Nb of features
No features selection (21 basics features)	99,48%	21
Sublist from 21 basis features	99,68%	12
Frequency + Sublist from all features	99,59%	18

#### Other results

• Apparently no overfitting issue

#### 1 Malware Detection using Machine Learning

2 Evasion Attacks

3 Counter-Measures



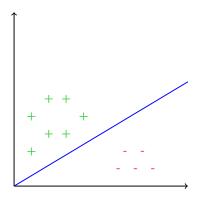
### Adversary Model

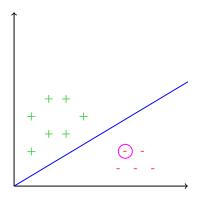
#### White Box Adversary

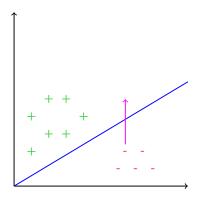
- The training dataset
- The used classification algorithm
- PDF files with malware that are detected by the SVM

#### Goal

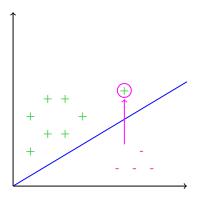
Append objects to the PDF to evade the detection



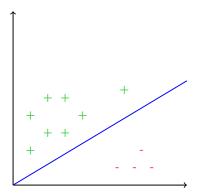




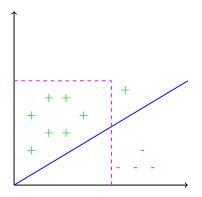
• Increase a well chosen component to cross the border



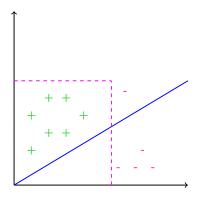
• Increase a well chosen component to cross the border



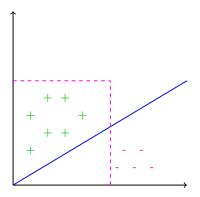
- Increase a well chosen component to cross the border
- Add a lot of "non suspicious" objects (e.g. 50)



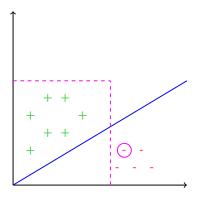
- Increase a well chosen component to cross the border
- Add a lot of "non suspicious" objects (e.g. 50)
- Easy counterattack: Add a threshold to the SVM



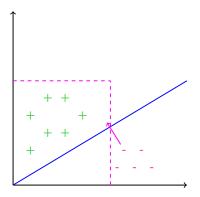
- Increase a well chosen component to cross the border
- Add a lot of "non suspicious" objects (e.g. 50)
- Easy counterattack: Add a threshold to the SVM



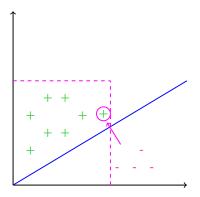
- Step by step approach (iterations)
- More components are modified
- Less objects added on the whole



- Step by step approach (iterations)
- More components are modified
- Less objects added on the whole



- Step by step approach (iterations)
- More components are modified
- Less objects added on the whole



- Step by step approach (iterations)
- More components are modified
- Less objects added on the whole

## Test and Result of the Attack

#### Theoretical Attack

- 100% of the modified malware vectors detected as clean
- Gradient descent computes float vectors

## Test and Result of the Attack

#### Theoretical Attack

- 100% of the modified malware vectors detected as clean
- Gradient descent computes float vectors

#### In Practice

- Forge new PDF files from gradient-descent-computed vectors
- Rounding is required ⇒ precision issues
- $\bullet~97.5\%$  of the newly forged PDF were detected as clean

#### 1 Malware Detection using Machine Learning

2 Evasion Attacks

3 Counter-Measures



# Vector Component Threshold

#### Threshold Computation

 $\mathsf{Threshold} \in \mathbb{N}^*$  because PDF objects number is discrete

- Arbitrarily choose a threshold
- Apply this threshold on each vector component independently
- Ocheck success rate of gradient descent
- If success rate not low enough reduce threshold and go to 2)

# Vector Component Threshold

#### Threshold Computation

 $\mathsf{Threshold} \in \mathbb{N}^*$  because PDF objects number is discrete

- Arbitrarily choose a threshold
- Apply this threshold on each vector component independently
- Ocheck success rate of gradient descent
- If success rate not low enough reduce threshold and go to 2)

#### Results

- 5  $\rightarrow$  reduce attacks by 35%
- $\bullet~4 \rightarrow$  reduce attacks by 36%
- $\bullet~3 \rightarrow$  reduce attacks by 38%
- $\bullet~2 \rightarrow$  reduce attacks by 40%
- $\bullet~1 \rightarrow$  reduce attacks by 94%

# Vector Component Threshold

### Threshold Computation

 $\mathsf{Threshold} \in \mathbb{N}^*$  because PDF objects number is discrete

- Arbitrarily choose a threshold
- Apply this threshold on each vector component independently
- Ocheck success rate of gradient descent
- If success rate not low enough reduce threshold and go to 2)

#### Results

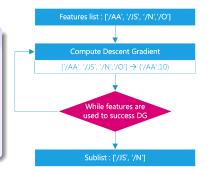
- 5  $\rightarrow$  reduce attacks by 35%
- $\bullet~4 \rightarrow$  reduce attacks by 36%
- $\bullet~3 \rightarrow$  reduce attacks by 38%
- $\bullet~2 \rightarrow$  reduce attacks by 40%
- $\bullet~1 \rightarrow$  reduce attacks by 94%

 $\Rightarrow$  Cannot perform better only with threshold

# Features Selection (Remove GD)

#### **Removing Features**

- Gradient descent: only some features used
- Idea: remove features used by GD
- Work with various initial choices of features (not only the 21 from PDFiD)



# Features Selection (Remove GD)

#### Results

	Attack prevention	Accuracy	Nb of features
Treshold only	94,00%	99,81%	20
Remove GD only	99,97%	98,05%	2 (/JS and /XFA)
Threshold + Remove GD	99,99%	99,22%	9

### Adversarial Learning

#### Principle

Supervised learning:

- Feed SVM by labeling gradient-descent-forged PDFs
- Relaunch the learning step
- Rounds until attack reduction is stable
- No need of feature selection

### Adversarial Learning

### Principle

Supervised learning:

- Feed SVM by labeling gradient-descent-forged PDFs
- Relaunch the learning step
- Rounds until attack reduction is stable
- No need of feature selection

#### Results

- labeled forged PDF between each rounds
- Iterations of GD = hardness of the attack

Round	SV	Accuracy (%)	Iterations of GD	Success rate of GD (%)	
0	293	99,70	800	100	
1	308	99,68	1800	90	
2	312	99,67	3000	0	
$\Rightarrow$ 3 iterations is enough for SVM to be fully resistant to GD attacks					

# Conclusion and Perspectives

#### Conclusion

- Naive SVM: easy to trick with gradient descent
- Usage of threshold: stops almost every GD attack
- Optimal features computation reduces even more the attack surface
- But reduce a bit the accuracy of the SVM

#### Perspectives

- Change adversary model:
  - Attacker has no knowledge of used classifier
  - Attacker uses another classifier for gradient descent
- Use deep learning like GAN (Generative Adversarial Network)
- Attack classifier with Monte-Carlo Markov Chains (MCMC) techniques

# Thank you for your time ! Questions?



bonan.cuan@liris.cnrs.fr claire.delaplace@irisa.fr alienor.damien@laas.fr mathieu.valois@unicaen.fr